

# Lecture 17: Physical layers with coherent fields

Machine Learning and Imaging

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#### **Review: how do coherent EM waves propagate?**

Maxwell's equations without any charge

$$\nabla \times \vec{\mathcal{E}} = -\mu \frac{\partial \vec{\mathcal{H}}}{\partial t}$$
$$\nabla \times \vec{\mathcal{H}} = \epsilon \frac{\partial \vec{\mathcal{E}}}{\partial t}$$
$$\nabla \cdot \epsilon \vec{\mathcal{E}} = 0$$
$$\nabla \cdot \mu \vec{\mathcal{H}} = 0.$$

- 1. Take the curl of both sides of first equation
- 2. Substitute 2<sup>nd</sup> and 3<sup>rd</sup> equation
- 3. Arrive at the wave equation:

$$\nabla^2 \vec{\mathcal{E}} - \frac{n^2}{c^2} \frac{\partial^2 \vec{\mathcal{E}}}{\partial t^2} = 0 \qquad n = \left(\frac{\epsilon}{\epsilon_0}\right)^{1/2} \qquad c = \frac{1}{\sqrt{\mu_0 \epsilon_0}}.$$

#### Review: how do coherent EM waves propagate?



Considering light that isn't pulsed over time, we can use the following solution:

 $u(P,t) = A(P) \cos[2\pi\nu t + \phi(P)]$  $u(P,t) = Re\{U(P) \exp(-j2\pi\nu t)\},\$ 

With this particular solution, we get the following important time-independent equation:

Helmholtz Equation

on 
$$(\nabla^2 + k^2)U = 0.$$
  $k = 2\pi n \frac{\nu}{c} = \frac{2\pi}{\lambda},$ 

This is an important equation in physics. We won't go into the details, but it leads to the Huygen-Fresnel principle:

$$U(P_2) = \frac{1}{j\lambda} \iint_{\Sigma} U(P_1) \frac{\exp(jkr_{21})}{r_{21}} \cos\theta \, ds$$

#### Plane-to-plane light propagation via the "paraxial approximation"

We are usually concerned about propagation between two planes (almost always in an optical system):

#### **Paraxial approximation:**

$$abla_{ot}^2 U + 2ikrac{dU}{dz} = 0$$
 Substitute in  $U(P) = E(x,y,z)e^{ikz}$  and crank the wheel,

 $\nabla_{\perp}^2 E + 2ik\frac{dE}{dz} + 2k^2 E = 0$ 

Paraxial Helmholtz Equation. This has an exact integral solution:

$$E(x,y,z) = rac{e^{ikz}}{i\lambda z} \iint_{-\infty}^{+\infty} E(x',y',0) e^{rac{ik}{2z} \left[ (x-x')^2 + (y-y')^2 
ight]} dx' dy'$$

Fresnel diffraction integral

#### This is how light propagates from one plane to the next. It's a convolution!





#### From the Fresnel approximation to the Fraunhofer approximation

**Fresnel Approximation:** 

$$E(x,y,z) = rac{e^{ikz}}{i\lambda z} \iint_{-\infty}^{+\infty} E(x',y',0) e^{rac{ik}{2z} \left[ (x-x')^2 + (y-y')^2 
ight]} dx' dy'$$

Lets assume that the second plane is "pretty far away" from the first plane. Then,



1. Expand the squaring

$$E(x,y,z) = \frac{e^{ikz}}{i\lambda z} \iint E(x',y',0) e^{\frac{ik}{2z}(x^2+y^2)} e^{\frac{ik}{2z}(x'^2+y'^2)} e^{\frac{ik}{2z}(xx'+yy')} dx' dy'$$

2. Front term comes out, assume second term goes away, then,

$$E(x,y,z) = C \iint E(x',y',0)e^{\frac{ik}{2z}(xx'+yy')}dx'dy'$$

$$C = \frac{e^{ikz}}{i\lambda z} e^{\frac{ik}{2z}(x^2 + y^2)}$$

#### Fraunhofer diffraction is a Fourier transform!!!!!!!

# This is the aperture

Two-dimensional rectangle function as an image d) Magnitude of Fourier spectrum of the 2-D rectangle

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magnitude of zebra





#### Summary of two models for image formation

- Interpretation #1: Radiation (Incoherent)
- Model: Rays







- Real, non-negative
- Models absorption and brightness

$$\mathbf{I}_{tot} = \mathbf{I}_1 + \mathbf{I}_2$$

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#### Mathematical model of for incoherent image formation

• All quantities are real, and non-negative

Object absorption:

**S**<sub>0</sub>(x,y)

Illumination brightness:



• All quantities **B** ,  $S_0$ , **H** are real and and non-negative

Object absorption:







#### Summary of two models for image formation

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 $\mathbf{I}_{\text{tot}} = \mathbf{I}_1 + \mathbf{I}_2$ 

 $I_s = H B S_0$ 



#### Summary of two models for image formation

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 $\mathbf{I}_{tot} = \mathbf{I}_1 + \mathbf{I}_2$ 

 $I_s = H B S_0$ 

- Interpretation #2: Electromagnetic wave (Coherent)
- Model: Waves



- Complex field
- Models Interference

$$\mathsf{E}_{tot} = \mathsf{E}_1 + \mathsf{E}_2$$



• Pretty much the same thing, but now we have an amplitude and a complex phase





• Pretty much the same thing, but now we have an amplitude and a complex phase





Pretty much the same thing, but now we have an amplitude and a complex phase ٠

Represents wave delay across space

Sample absorption = S(x,y)



 $C(x,y) = A_i(x,y) \exp[ik\phi_i(x,y)] \quad U(x,y) = A_i(x,y) S(x,y) \exp[ik\phi_t(x,y)]$ 

New: complex phase delay Needed to represent wave







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• Pretty much the same thing, but now we have an amplitude and a complex phase



• Pretty much the same thing, but now we have an amplitude and a complex phase

Sample absorption = S(x,y)



 $C(x,y) = A_i(x,y) \exp[ik\phi_i(x,y)] \quad U(x,y) = A_i(x,y) S(x,y) \exp[ik\phi_i(x,y)] \exp[ik\phi(x,y)]$ 

Output phase is sum of phase delays, product of phasors

 $\varphi_{t}(x,y) = \varphi(x,y) + \varphi_{i}(x,y)$ 

 $exp[ik\phi_t(x,y)] = exp[ik\phi_i(x,y)] exp[ik\phi(x,y)]$ 





Sample absorption = S(x,y)



 $C(x,y) = A_i(x,y) \exp[ik\phi_i(x,y)] \quad U(x,y) = A_i(x,y) S(x,y) \exp[ik\phi_i(x,y)] \exp[ik\phi(x,y)]$ 



Conclusion:

Transmitted field = incident field  $\mathbf{x}$  complex sample :

 $U(x,y) = C(x,y) S(x,y) \exp[ik\phi(x,y)]$ 













#### General rules for applying the Fourier transform in optics



Situation 3: From an object to a plane 1 focal length away from a lens (1f-1f system)





























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You typically go between 4 functions to describe one imaging system:





#### Summary of two models for image formation

- Interpretation #1: Radiation (Incoherent)
- Model: Rays







• Real, non-negative

 $\mathbf{I}_{s} = \mathbf{H} \mathbf{B} \mathbf{S}_{0}$ 

- Sample absorption **S**
- Illumination brightness B
- Blur in **H**

- Interpretation #2: Electromagnetic wave (Coherent)
- Model: Waves



• Complex-valued

 $\mathbf{I}_{\mathrm{C}} = \|\mathbf{H} \mathbf{C} \mathbf{S}_{\mathrm{C}}\|^2$ 

- Sample abs./phase **S**
- Illumination wave **B**
- Blur in **H**



#### **Coherent image formation equation as CNN operations**

$$\mathbf{I}_{\mathrm{C}} = \mathbf{D} \| \mathbf{H} \mathbf{C} \mathbf{S}_{\mathrm{C}} \|^2$$

### **CNN** layer

Step 1: Multiply with weights(SStep 2: ConvolutionSStep 3: Absolute value square (non-linearity)SStep 4: Down-sampling by detectorS

(Step 1: Normalization) Step 2: Convolution Step 3: Non-linearity

Step 4: Down-sampling by max pooling



Example future situation: Hacking has brought online banking to a halt. We now rely on a special form of physical check that is made of visibly transparent plastic. To write the amount in, you press down with a pen-like instrument, and then the check is read out by shining a particular pattern of laser light onto it, and then imaging it with a lens.

<u>Question</u>: What type of illumination should you use to maximize the classification accuracy of the numbers on the check?

Step 1: Transform MNIST image data set into transparent plastic sheets with varying thickness







- 1. Normalize intensity map to 1
- 2. Define thickness map at some reasonable amount (100 µm max change)



- 1. Normalize intensity map to 1
- 2. Define thickness map at some reasonable amount (100 µm max change)
- 3. Convert thickness map into optical phase delay:



- 1. Normalize intensity map to 1
- 2. Define thickness map at some reasonable amount (100 µm max change)
- 3. Convert thickness map into optical phase delay:

```
n = 1
wavelength = 0.5e-3
mnist_raw_images = tf.placeholder(tf.float32, [image_size, None])
thickness_map = mnist_raw_images/np.amax(mnist_raw_images)
mnist_phase_delay_real = cos(thickness_map * n/wavelength)
mnist_phase_delay_imag = sin(thickness_map * n/wavelength)
mnist_phase_delay = tf.complex(mnist_phase_delay_real,mnist_phase_delay_imag)
```

















detected\_image then enters standard CNN classification pipeline

#### Example #2: Optimizing aperture shape for improved digit classification



Example future situation: Hacking has brought online banking to a halt. We now rely on a special form of physical check that is made of visibly transparent plastic. To write the amount in, you press down with a pen-like instrument, and then the check is read out by shining a particular pattern of laser light onto it, and then imaging it with a lens.

<u>Question #2</u>: What type of aperture shape should you use to maximize classification accuracy?

#### Example #2: Optimizing aperture shape for improved digit classification



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#### Example #2: Optimizing aperture shape for improved digit classification



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#### Example #2: Optimizing aperture shape for improved digit classification





### Remaining questions to address about physical layers:

- Where and how should I implement my physical layer?
  - Simulation data
  - Experimental data
- How can I add some constraints to the physical weights that I'm optimizing?
- What are some common issues and pitfalls?