

# Lecture 16: Wave optics and Fourier optics

Machine Learning and Imaging

BME 590L  
Roarke Horstmeyer

## Announcements:

Welcome to BME590 Online! Things shouldn't change much, but here's a summary:

0. I hope that everyone is safe and is doing ok!

1. See summary of all changes to transition things online [here](#)

a. Lectures are now held on Zoom (live, at same class time) and will be recorded and posted online

b. Lab session are now held on Zoom (live at same lab time) and will be recorded

c. Office hours are now held on Zoom

\*My Zoom ID: 417-271-8775

2. Homework #3 is due today – please submit via class website

3. Homework #4 will be posted online today – it is due it

4. I have sent some of you feedback about your project proposal via email. If so, please send me an updated project proposal by this Thursday 3/26 at midnight. For the rest of the received proposals, I have input your grade on Sakai. If you didn't get an email or grade on Sakai, I didn't get your proposal.

## Rough schedule for the rest of the semester:

Homework #4 assigned: March 24

Homework #4 due: April 7

Homework #5 assigned: April 7

Homework #5 due: April 21

Final projects due: (original) Thurs 4/23, Friday 4/24, or Monday 4/27

Final project presentations: (original) Thurs 4/23, Friday 4/24, or Monday 4/27

Questions from class survey:

Q: Are we maintaining original final project presentation dates or if these are getting shifted by 1 week to account for the extension of spring break?

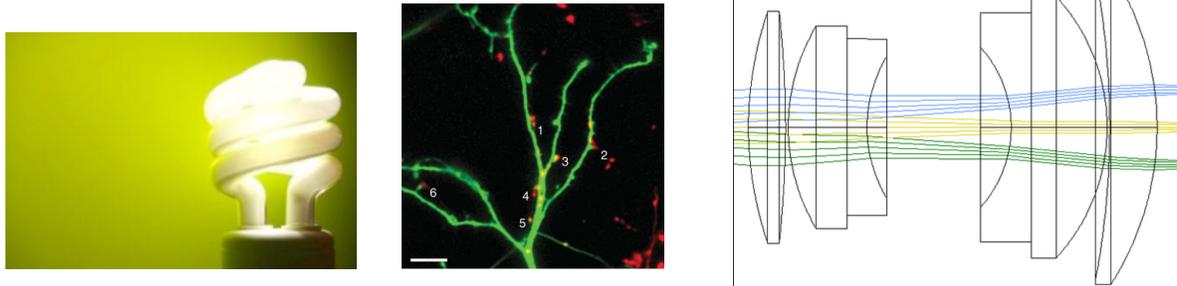
A: I would prefer to maintain the original presentation dates. It may be possible to shift it back a few days into finals week, but it depends on what I hear about regarding the format of other finals

Q: Would the recorded video of lectures be uploaded right after the class? Or it would be upload several days after the class?

A: Right after the class (i.e., as soon as I can after the class)

# First - what is light and how can we model it?

- Interpretation #1: Radiation (*Incoherent*)
- Model: Rays



- Real, non-negative
- Models absorption and brightness

$$I_{\text{tot}} = I_1 + I_2$$

- Interpretation #2: Electromagnetic wave (*Coherent*)
- Model: Waves

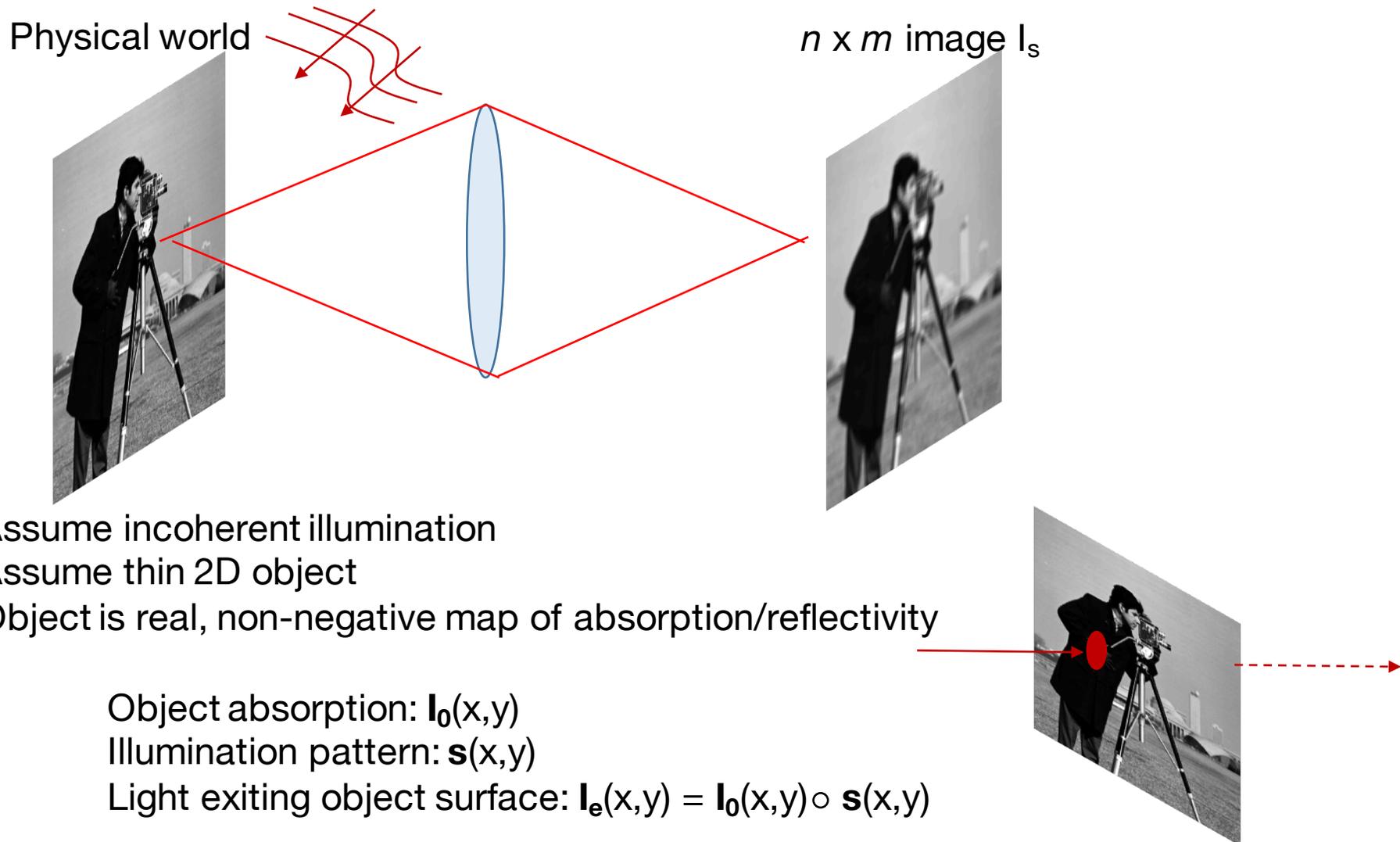


- Complex field
- Models Interference

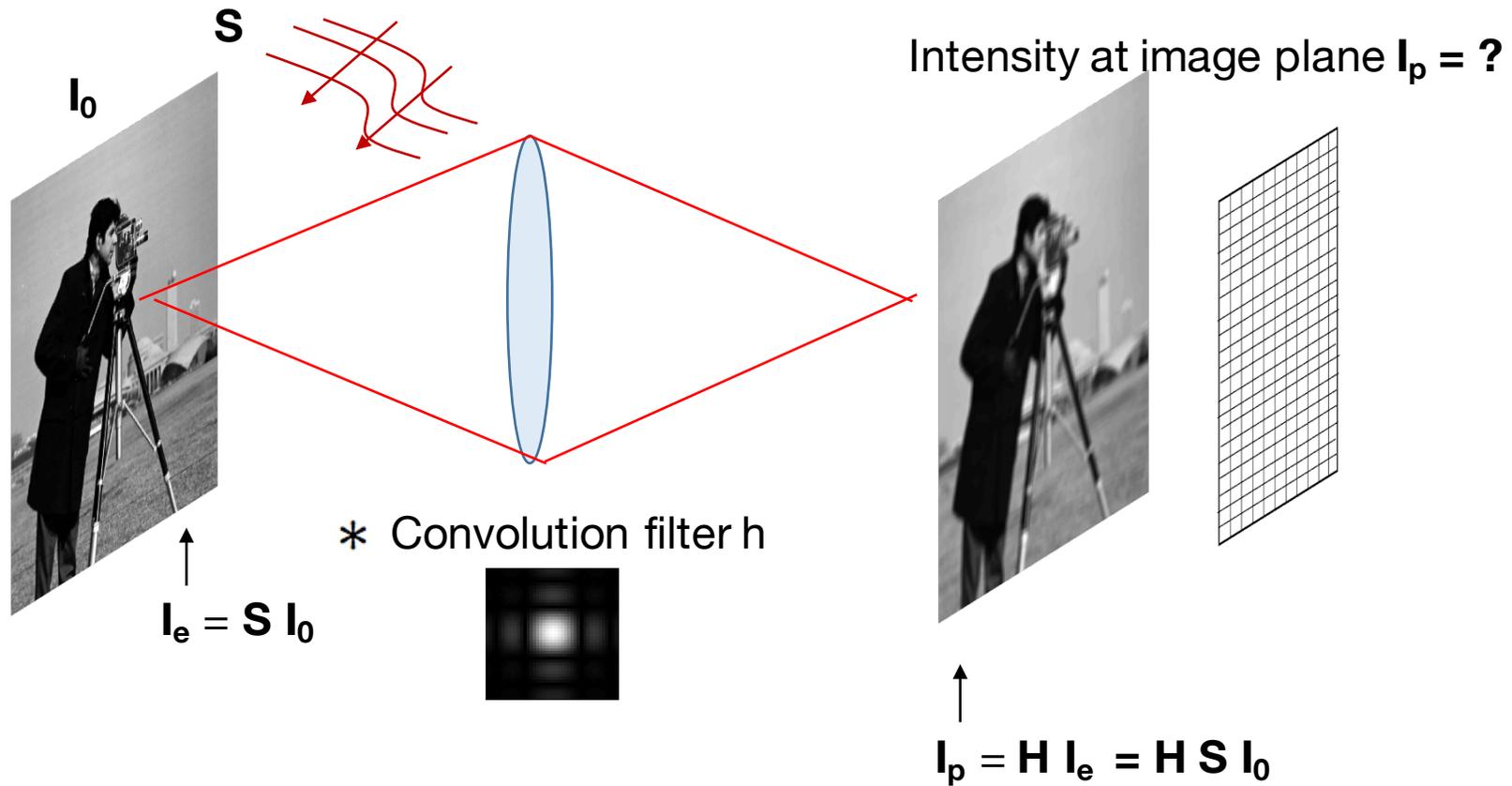
$$E_{\text{tot}} = E_1 + E_2$$

- Interpretation #3: Particle
- Model: Photons

# Simple mathematical model of incoherent image formation



# Simple mathematical model of image formation



## Summary: simple physical layers for incoherent imaging

- Deal with sample/image intensities  $I$ , real and non-negative

- Effect of illumination is element-wise multiplication  $\lambda$   $I_e(x,y) = \mathbf{S} I_o(x,y)$

- Imaging systems blur the object via point-spread function matrix  $\mathbf{H}$

$$I_b(x,y) = \mathbf{H} I_o(x,y)$$

- Discrete pixels down-sample the object via

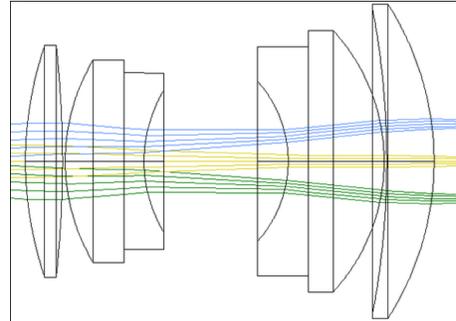
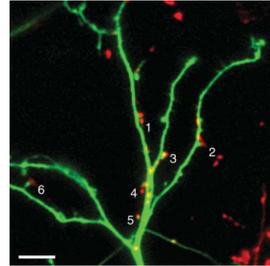
$$I_d(x,y) = \mathbf{D} I_o(x,y)$$

- Add noise into measurement  $I_N(x,y) = \mathbf{D} I_o(x,y) + \mathbf{N}$

- Different colors add linearly  $I_s(x,y) = \sum I_o(x,y,\lambda)$

# What is light and how can we model it?

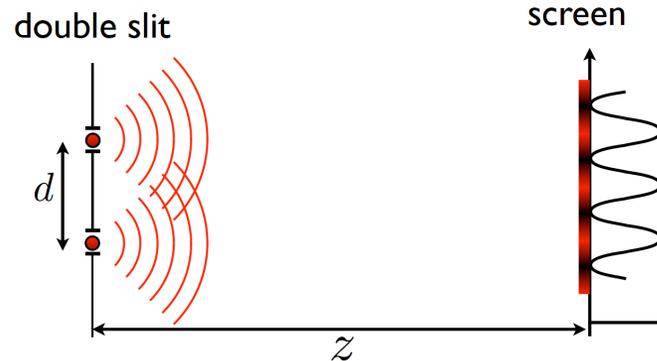
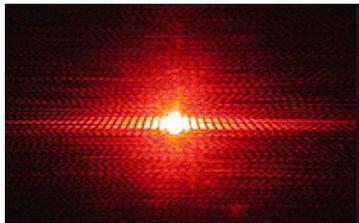
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- Complex field
- Models Interference

$$E_{\text{tot}} = E_1 + E_2$$

**This class: Modeling coherent radiation as a wave**

## Let's take a step back: how does light propagate?

Maxwell's equations  
without any charge

$$\begin{aligned}\nabla \times \vec{\mathcal{E}} &= -\mu \frac{\partial \vec{\mathcal{H}}}{\partial t} \\ \nabla \times \vec{\mathcal{H}} &= \epsilon \frac{\partial \vec{\mathcal{E}}}{\partial t} \\ \nabla \cdot \epsilon \vec{\mathcal{E}} &= 0 \\ \nabla \cdot \mu \vec{\mathcal{H}} &= 0.\end{aligned}$$

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1. Take the curl of both sides of first equation
2. Substitute 2<sup>nd</sup> and 3<sup>rd</sup> equation
3. Arrive at the wave equation:

$$\nabla^2 \vec{\mathcal{E}} - \frac{n^2}{c^2} \frac{\partial^2 \vec{\mathcal{E}}}{\partial t^2} = 0 \quad n = \left( \frac{\epsilon}{\epsilon_0} \right)^{1/2} \quad c = \frac{1}{\sqrt{\mu_0 \epsilon_0}}.$$

## Let's take a step back: how does light propagate?

Considering light that isn't pulsed over time, we can use the following solution:

$$u(P, t) = A(P) \cos[2\pi\nu t + \phi(P)]$$

$$u(P, t) = \text{Re}\{U(P) \exp(-j2\pi\nu t)\},$$

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With this particular solution, we get the following important time-independent equation:

Helmholtz  
Equation

$$(\nabla^2 + k^2)U = 0.$$

$$k = 2\pi n \frac{\nu}{c} = \frac{2\pi}{\lambda},$$

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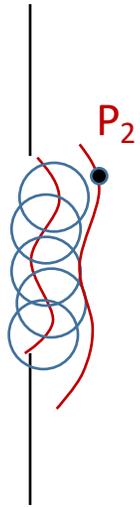
This is an important equation in physics. We won't go into the details, but it leads to the Huygen-Fresnel principle:

$$U(P_2) = \frac{1}{j\lambda} \iint_{\Sigma} U(P_1) \frac{\exp(jkr_{21})}{r_{21}} \cos\theta \, ds$$

## Plane-to-plane light propagation via the "paraxial approximation"

The Huygens-Fresnel Equation

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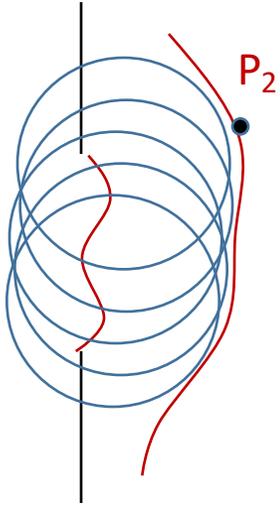


Aperture

## Plane-to-plane light propagation via the "paraxial approximation"

The Huygens-Fresnel Equation

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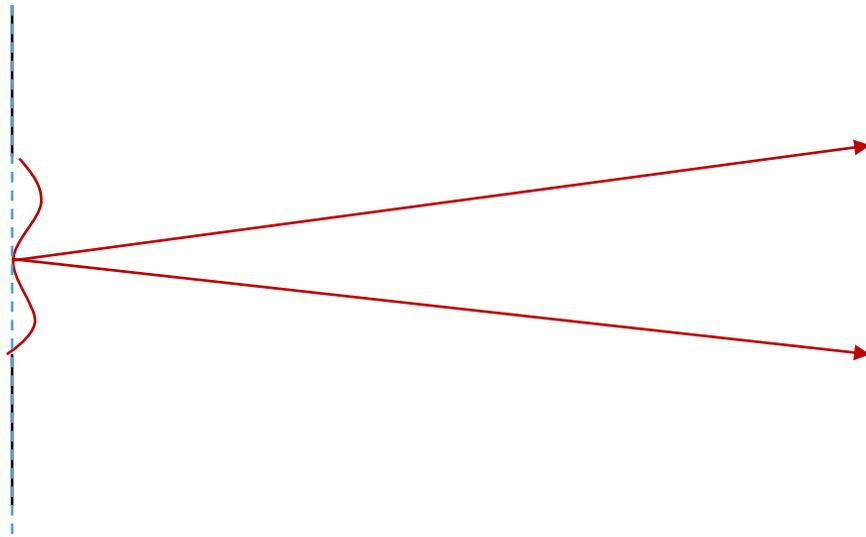
Generally connects two points in 3D:

$$U(P_1) = U(x_1, y_1, z_1)$$

$$U(P_2) = U(x_2, y_2, z_2)$$

## Plane-to-plane light propagation via the "paraxial approximation"

We are usually concerned about propagation between two planes (almost always in an optical system):



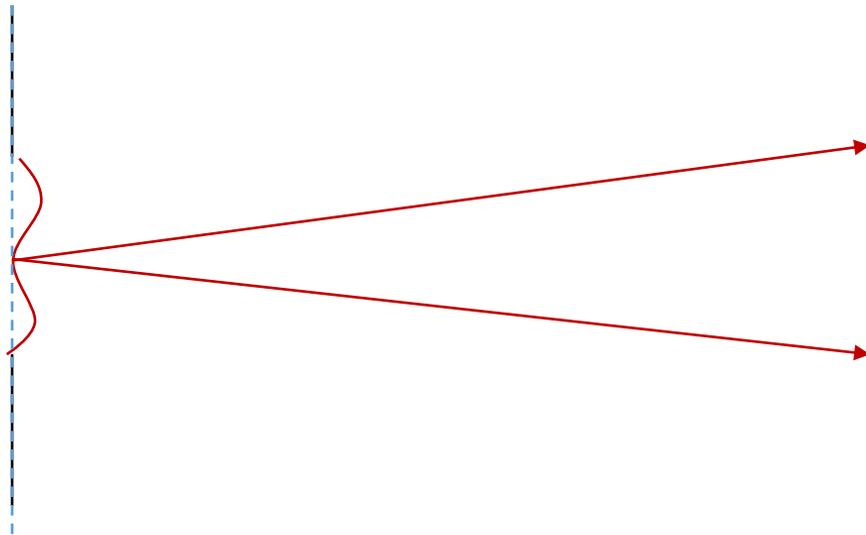
$$U(P_1) = U(x_1, y_1, z_1 = z_{p1})$$

$$U(P_2) = U(x_2, y_2, z_2 = z_{p2})$$

$$U(P) = E(x, y, z)e^{ikz}$$

# Plane-to-plane light propagation via the "paraxial approximation"

We are usually concerned about propagation between two planes (almost always in an optical system):



**Paraxial approximation:**

$$\nabla_{\perp}^2 U + 2ik \frac{dU}{dz} = 0$$

$$\nabla_{\perp}^2 \stackrel{\text{def}}{=} \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

$$U(P_1) = U(x_1, y_1, z_1 = z_{p1})$$

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$$\nabla_{\perp}^2 E + 2ik \frac{dE}{dz} + 2k^2 E = 0 \quad \text{Paraxial Helmholtz Equation. This has an exact integral solution:}$$

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$$\nabla_{\perp}^2 E + 2ik \frac{dE}{dz} + 2k^2 E = 0 \quad \text{Paraxial Helmholtz Equation. This has an exact integral solution:}$$

$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \iint_{-\infty}^{+\infty} E(x', y', 0) e^{\frac{ik}{2z} [(x-x')^2 + (y-y')^2]} dx' dy'$$

Fresnel diffraction  
integral

**This is how light propagates from one plane to the next. It's a convolution!**

## Fresnel light propagation as a convolution

$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \iint_{-\infty}^{+\infty} E(x', y', 0) e^{\frac{ik}{2z} [(x-x')^2 + (y-y')^2]} dx' dy'$$

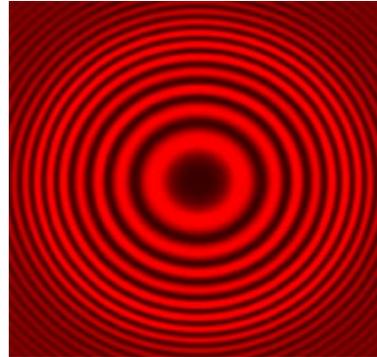
$$h(x, y, z) = \frac{e^{ikz}}{i\lambda z} e^{i\frac{k}{2z} (x^2 + y^2)}$$

$$E(x, y, z) = E(x, y, 0) * h(x, y, z)$$

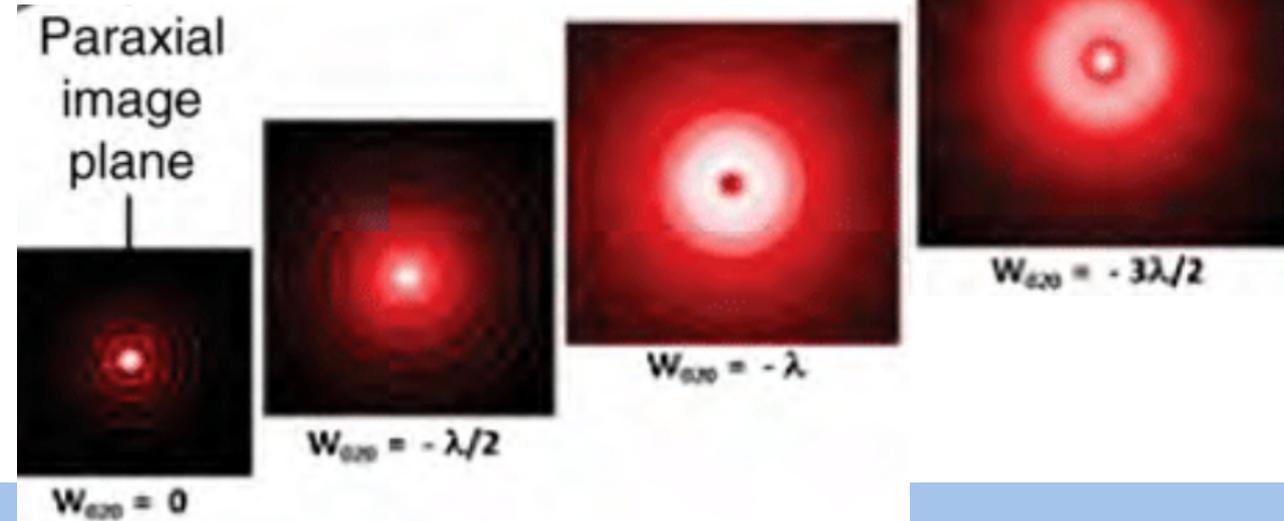
## Fresnel light propagation as a convolution

$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \iint_{-\infty}^{+\infty} E(x', y', 0) e^{\frac{ik}{2z} [(x-x')^2 + (y-y')^2]} dx' dy'$$

$$h(x, y, z) = \frac{e^{ikz}}{i\lambda z} e^{i\frac{k}{2z} (x^2 + y^2)}$$



$$E(x, y, z) = E(x, y, 0) * h(x, y, z)$$



## From the Fresnel approximation to the Fraunhofer approximation

**Fresnel Approximation:**

$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \iint_{-\infty}^{+\infty} E(x', y', 0) e^{\frac{ik}{2z} [(x-x')^2 + (y-y')^2]} dx' dy'$$

Lets assume that the second plane is “pretty far away” from the first plane. Then,

$$z > \frac{2D^2}{\lambda}$$

## From the Fresnel approximation to the Fraunhofer approximation

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1. Expand the squaring

$$E(x, y, z) = \frac{e^{ikz}}{i\lambda z} \iint E(x', y', 0) e^{\frac{ik}{2z} (x^2 + y^2)} e^{\frac{ik}{2z} (x'^2 + y'^2)} e^{\frac{ik}{2z} (xx' + yy')} dx' dy'$$

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2. Front term comes out, assume second term goes away, then,

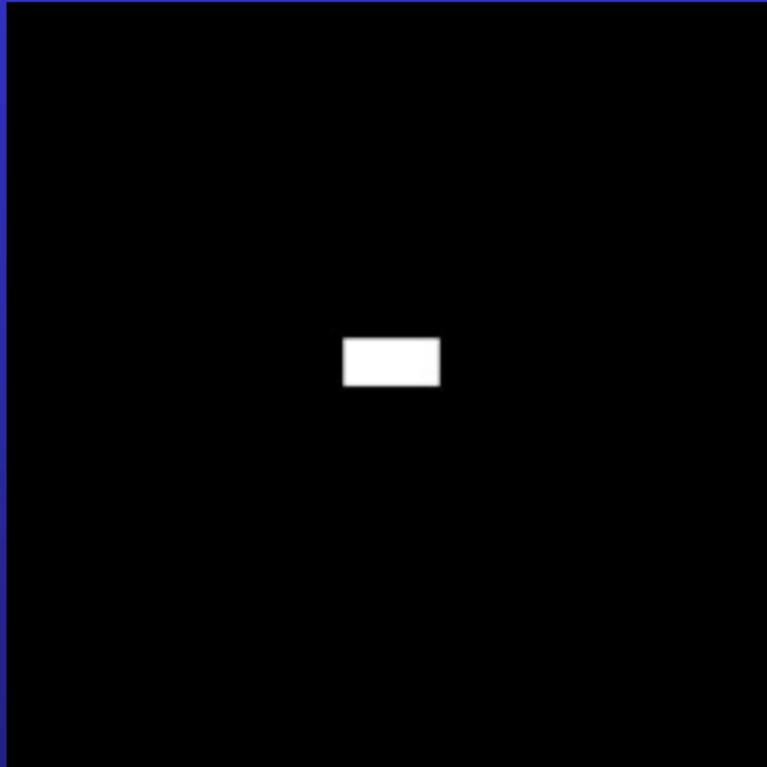
$$E(x, y, z) = C \iint E(x', y', 0) e^{\frac{ik}{2z} (xx' + yy')} dx' dy'$$

$$C = \frac{e^{ikz}}{i\lambda z} e^{\frac{ik}{2z} (x^2 + y^2)}$$

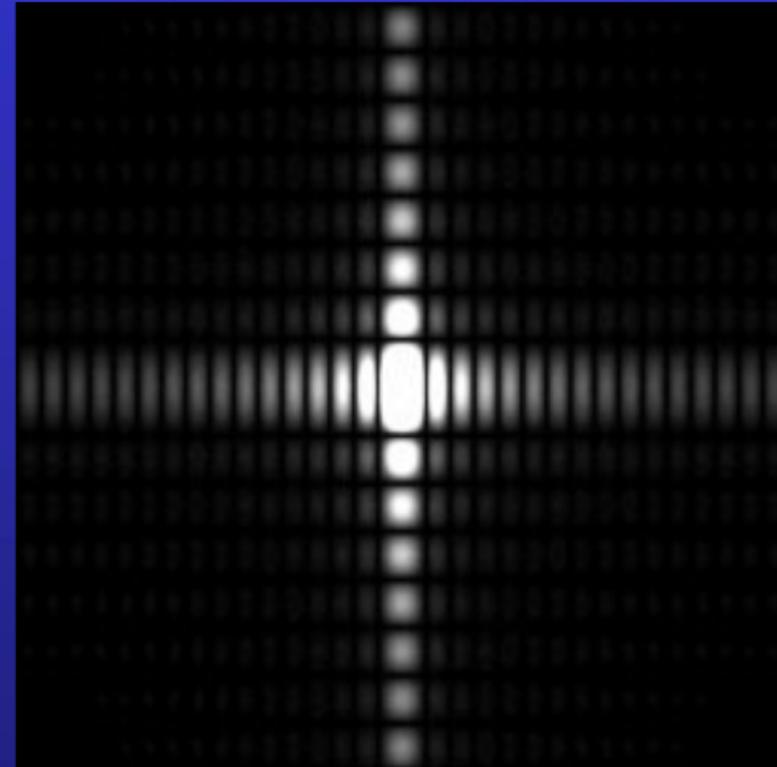
**Fraunhofer diffraction is a Fourier transform!!!!!!!**

This is the aperture

This is what you see far away



Two-dimensional rectangle function as an image



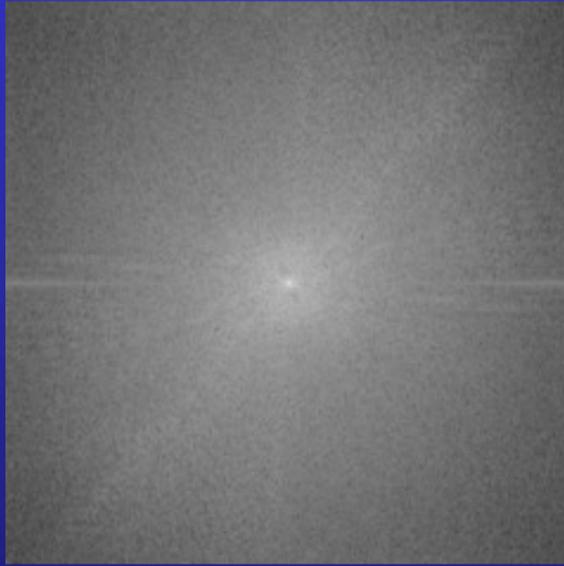
d) Magnitude of Fourier spectrum of the 2-D rectangle



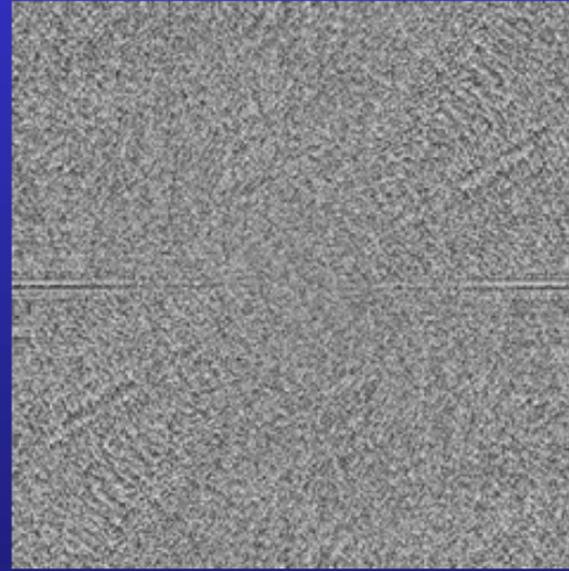
**Cheetah**



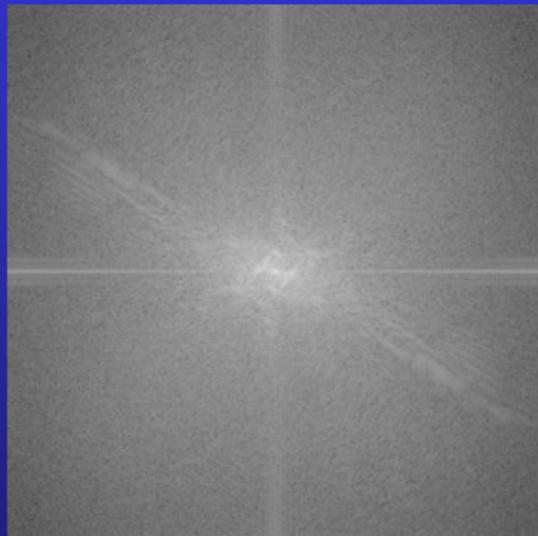
**Zebra**



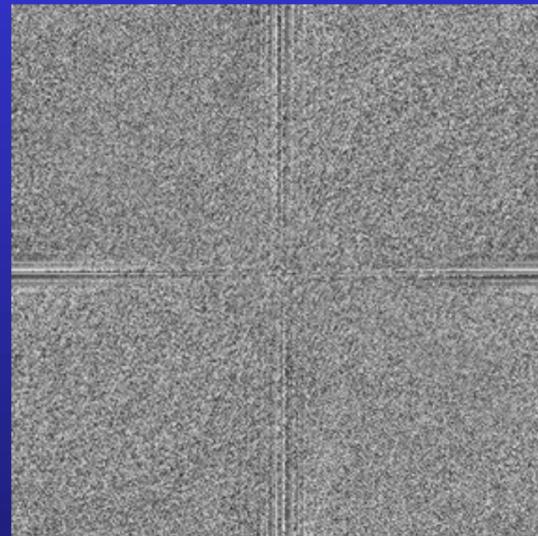
**magnitude of cheetah**



**phase of cheetah**

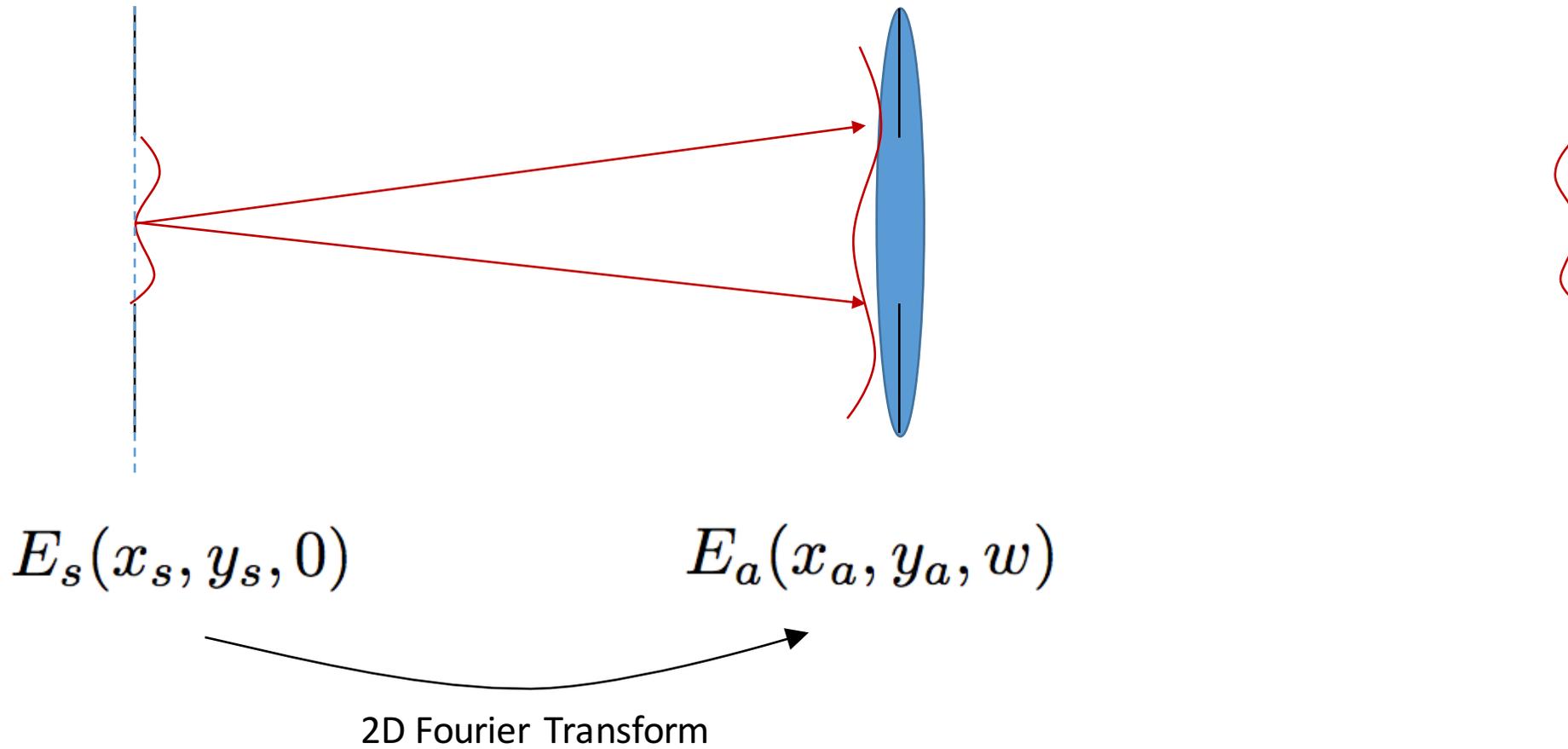


**magnitude of zebra**

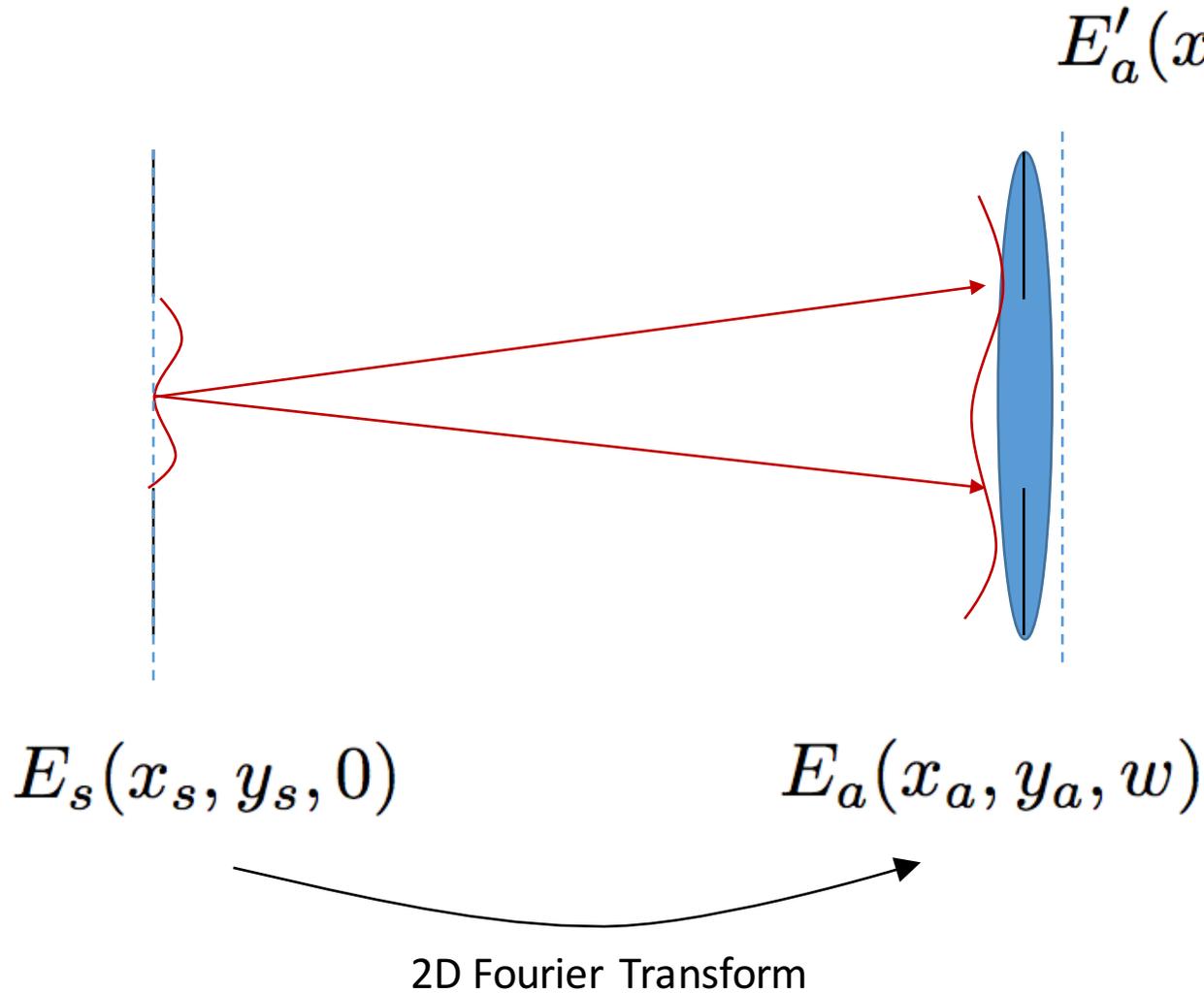


**phase of zebra**

# Model of a microscope (or camera) using Fourier transforms:



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Effect of the lens is to block light.

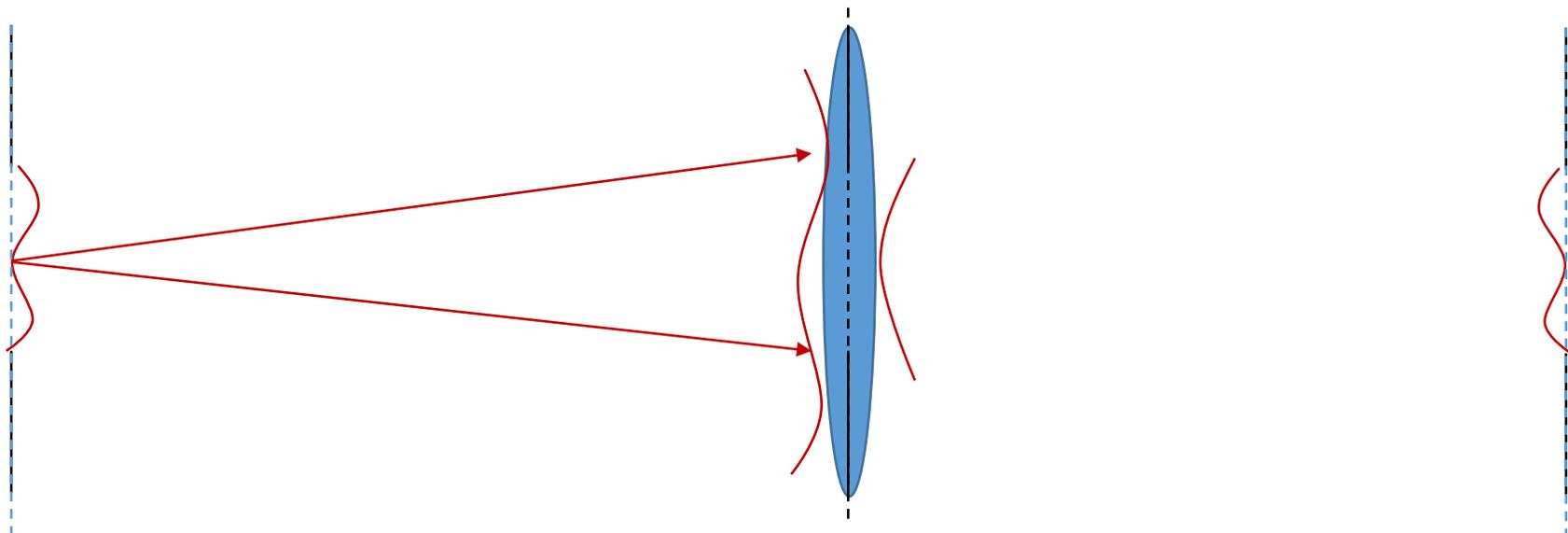
Use *thin object approximation* to determine distribution of light on the immediate other side of the lens stop:

$$E'_a(x_d, y_d) = E_a(x_d, y_d)A(x_d, y_d)$$



# Model of a microscope (or camera) using Fourier transforms:

Last piece of the puzzle: what happens from lens to sensor?



$$E_s(x_s, y_s, 0)$$

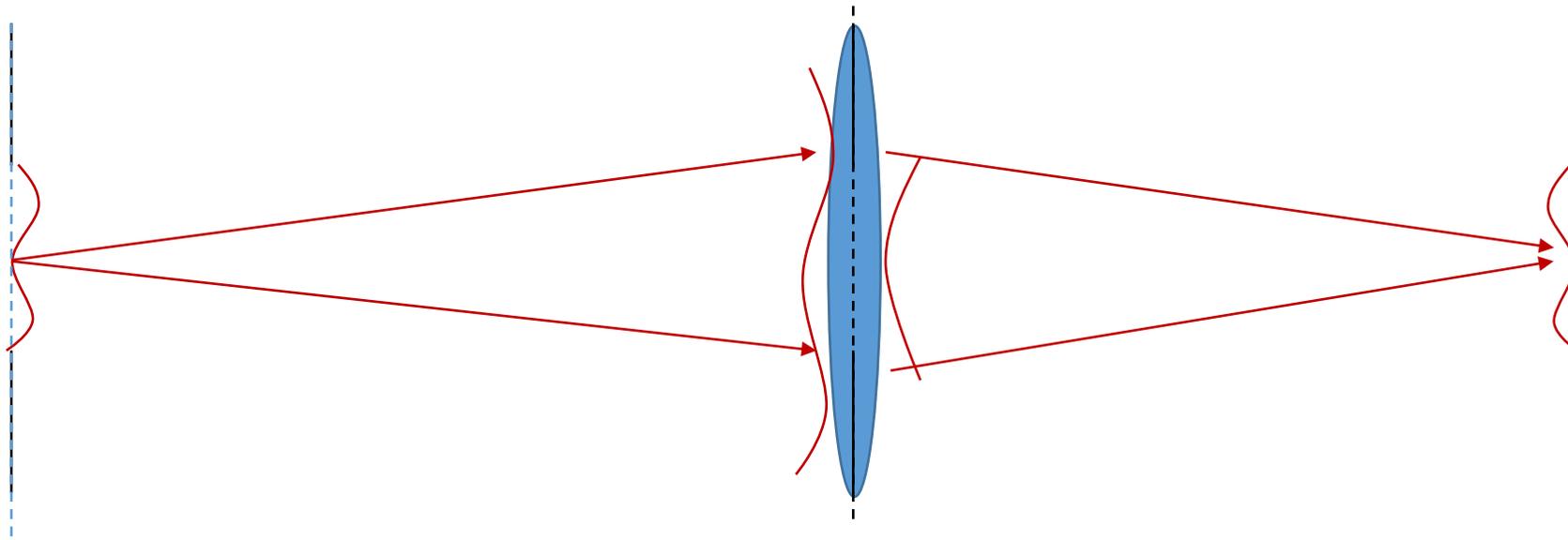
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2D Fourier Transform

Model of a microscope (or camera) using Fourier transforms:

Last piece of the puzzle: what happens from lens to sensor?

*inverse Fourier transform!*



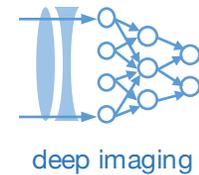
$$E_s(x_s, y_s, 0)$$

$$E'_a(x_d, y_d) = E_a(x_d, y_d)A(x_d, y_d)$$

2D Fourier Transform

2D inverse Fourier Transform

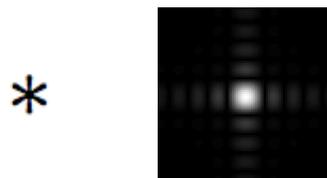
# This process should sound familiar....



Input image  
 $U_1(x,y)$



Convolution filter  $h$



=

Output image  
 $U_2(x,y)$

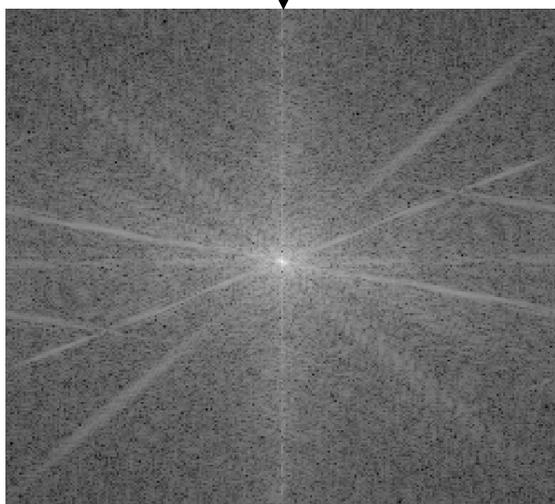


$F[U_1]$

$F[h]$

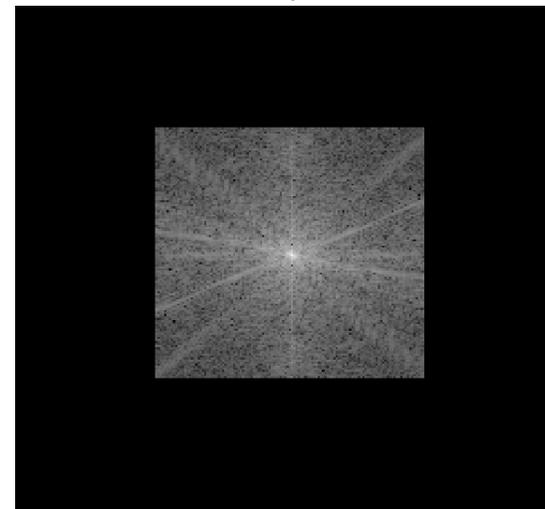
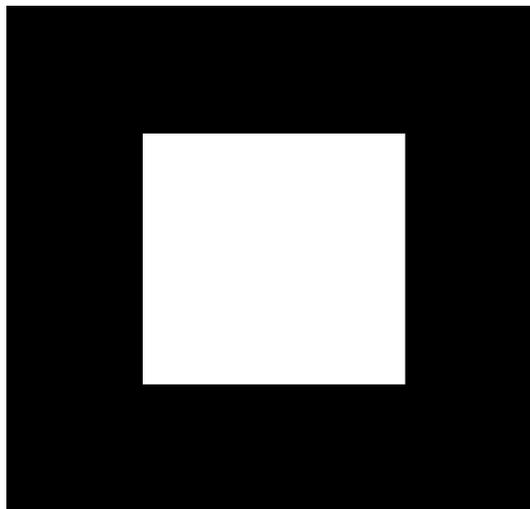
$F^{-1}[H\hat{U}_1]$

Input spectrum  
 $\hat{U}_1(f_x, f_y)$



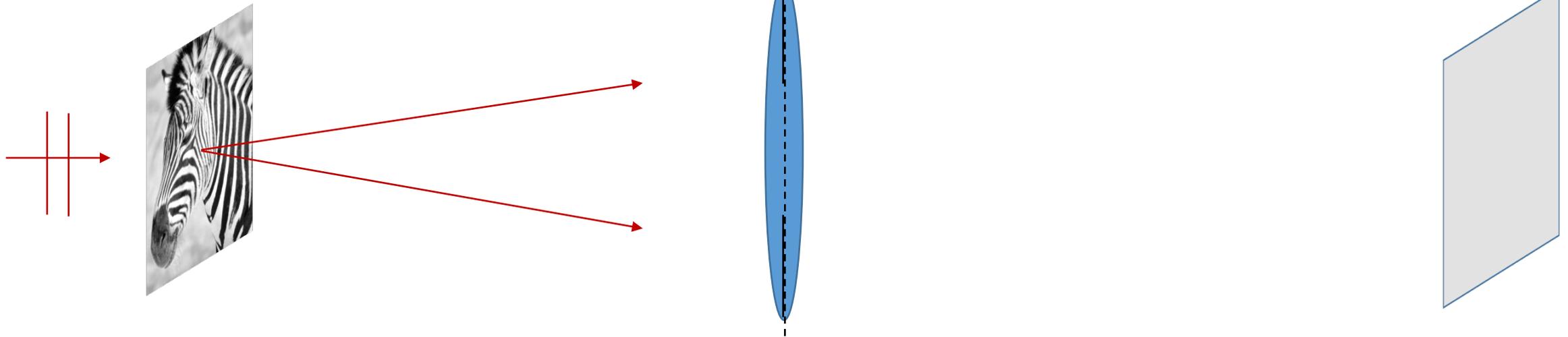
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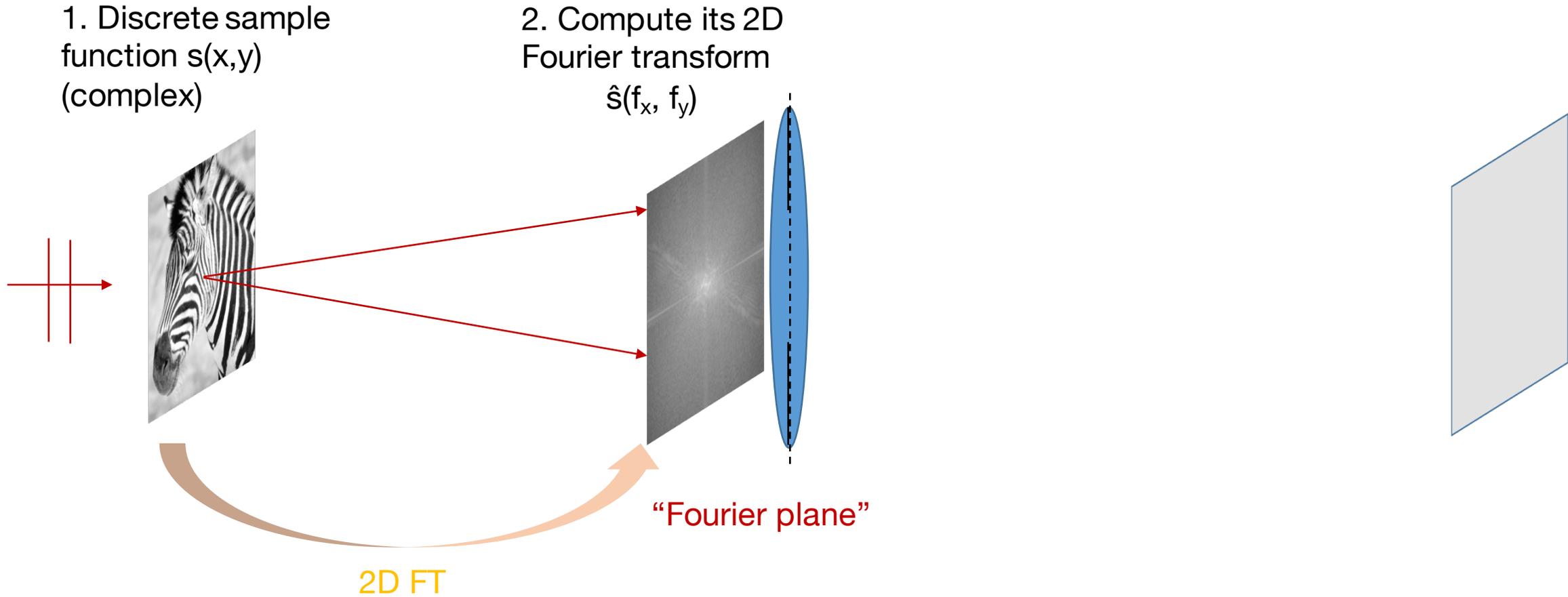


# Model of image formation for wave optics (coherent light):

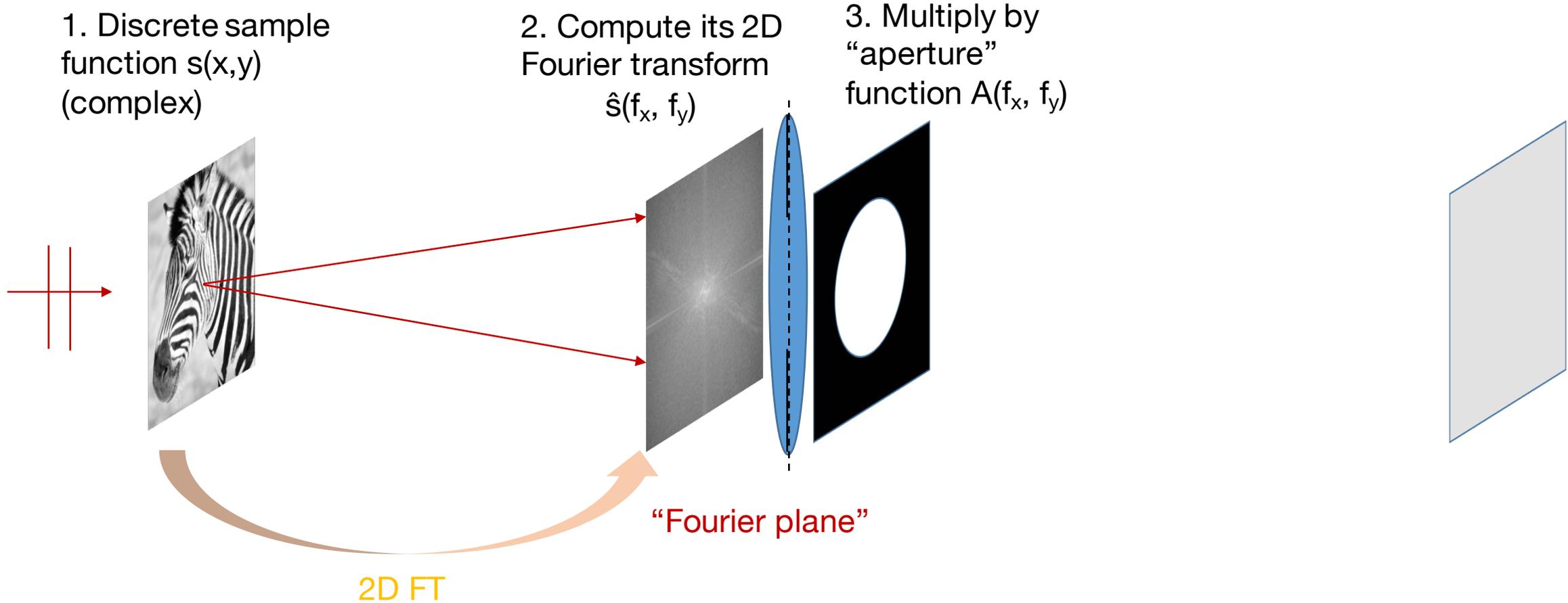
1. Discrete sample function  $s(x,y)$  (complex)



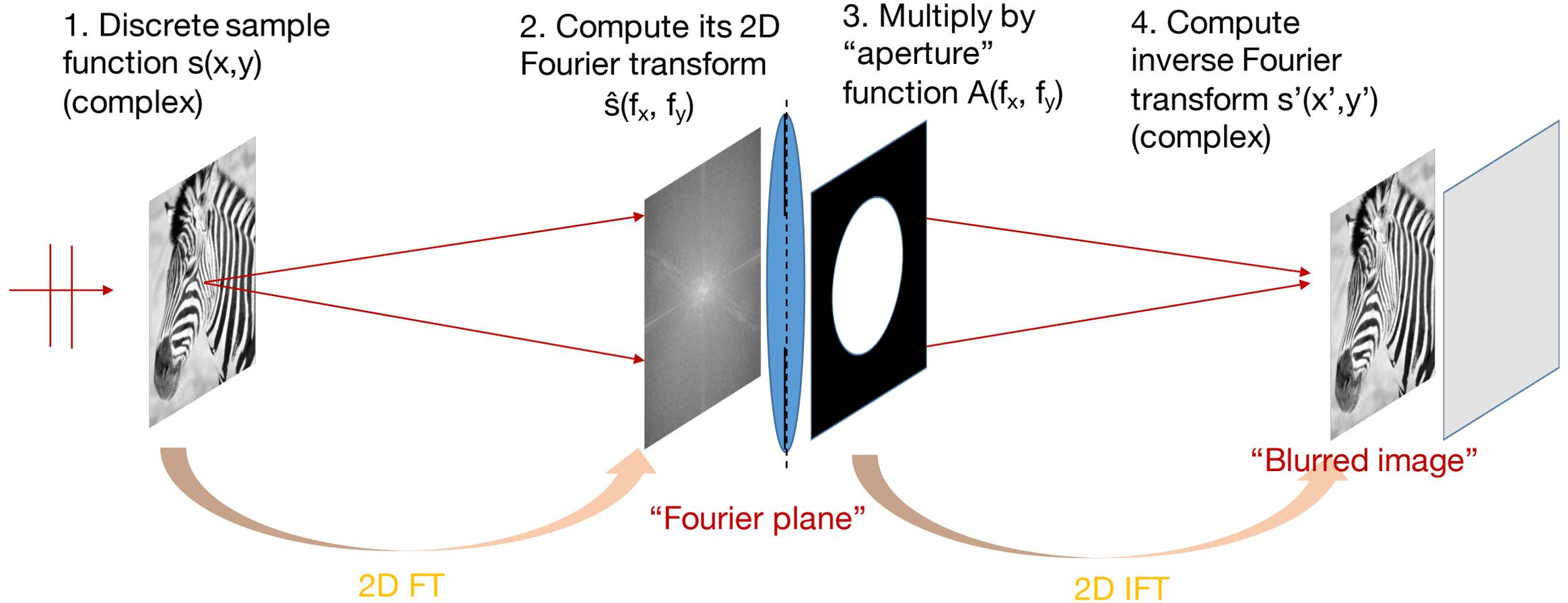
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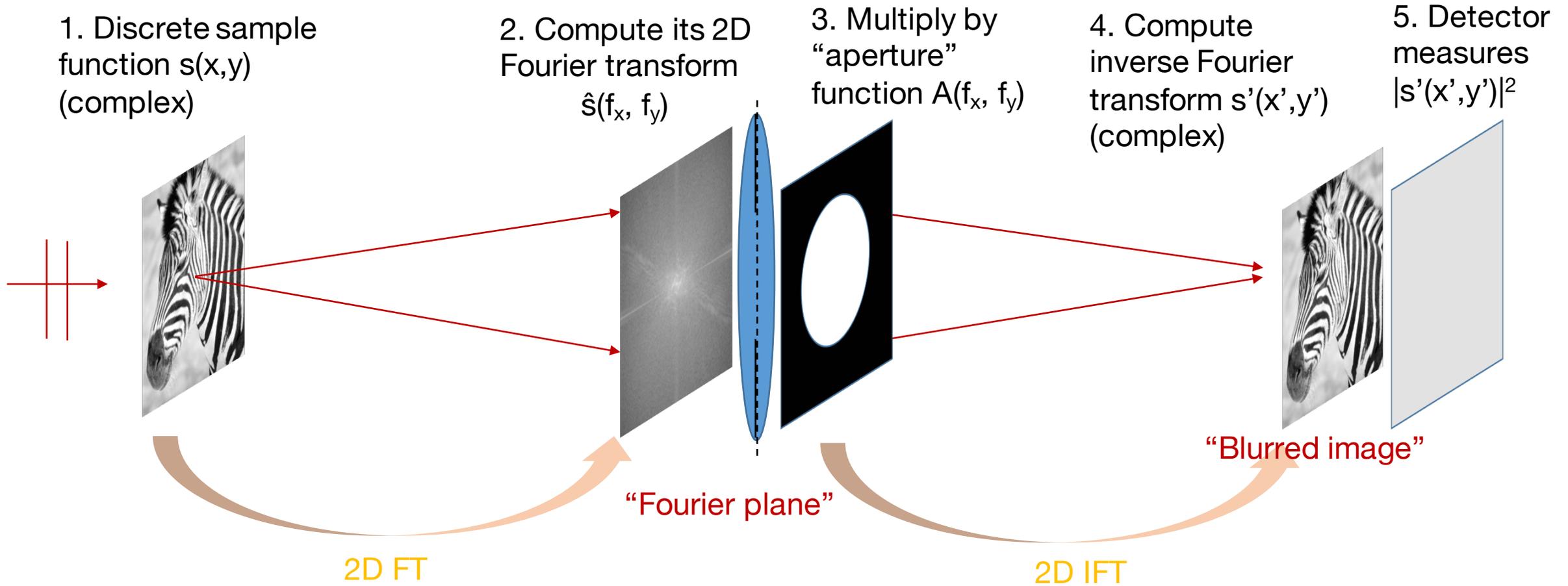
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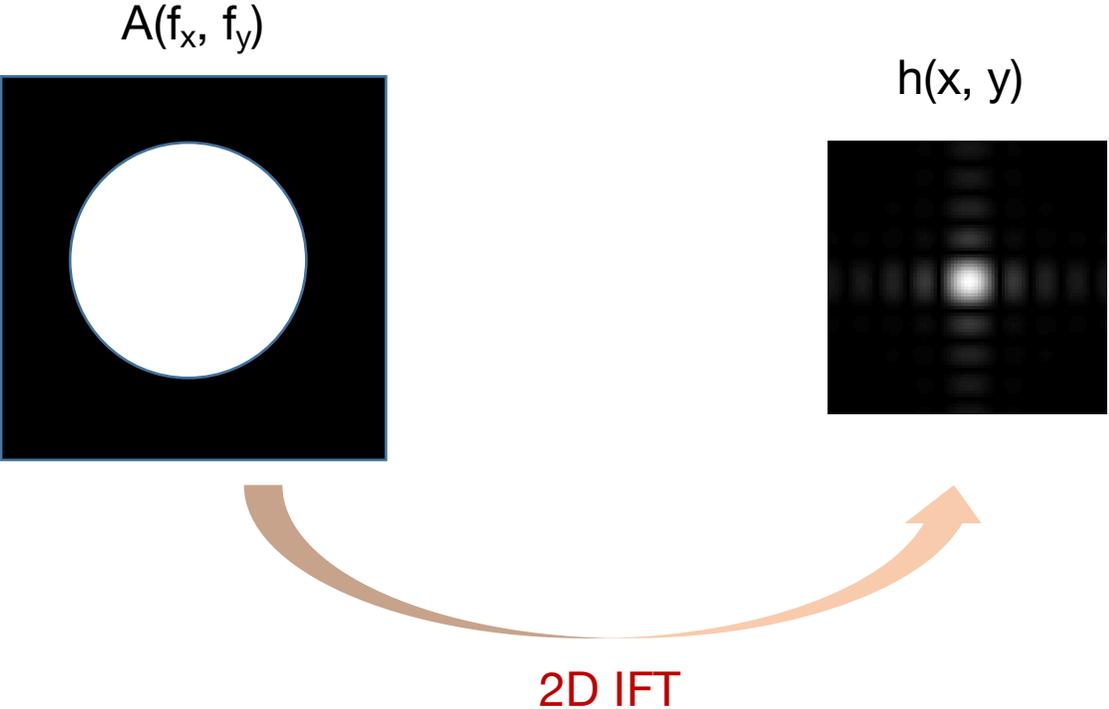
# Model of image formation for wave optics (coherent light):



# Can also model this using the Convolution Theorem

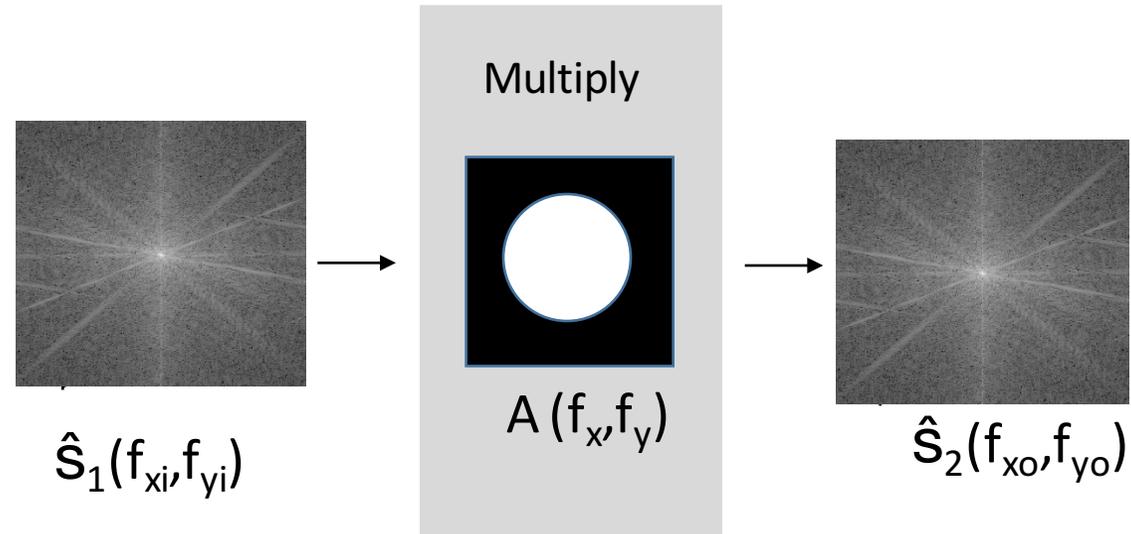
Aperture function (lens shape)

Camera blur function (IFT of lens shape)

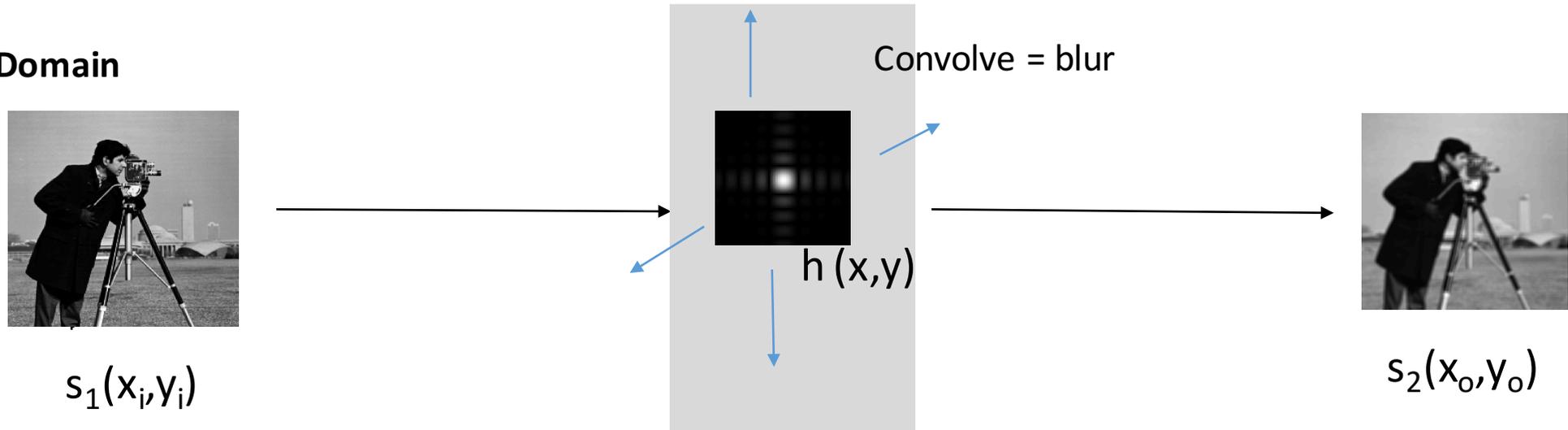


## Two modeling choices for the camera:

### Spatial Frequency Domain



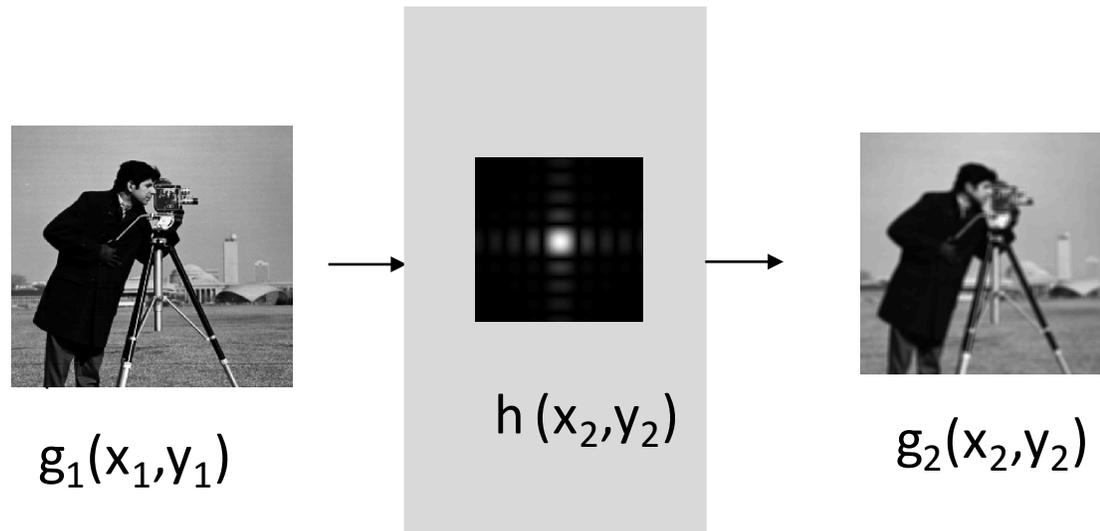
### Spatial Domain



## Linear systems and the black box

### The optical black box system and the point-spread function:

Light  $g_1(x_i, y_i)$  entering “black box” optical system modified by system point-spread function

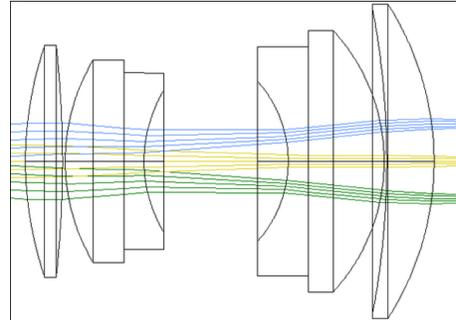
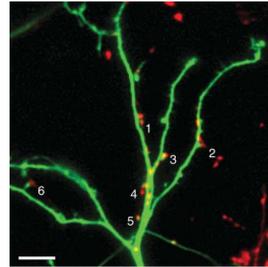


$$g_2(x_2, y_2) = \iint_{-\infty}^{\infty} g_1(x_1, y_1) h(x_2 - x_1, y_2 - y_1) dx_1 dy_1$$

**Assume shift invariance:  
This is the system point-spread function**

# Summary of two models for image formation

- **Interpretation #1: Radiation (*Incoherent*)**
- Model: Rays



- Real, non-negative
- Models absorption and brightness

$$I_{\text{tot}} = I_1 + I_2$$

# Mathematical model of for incoherent image formation

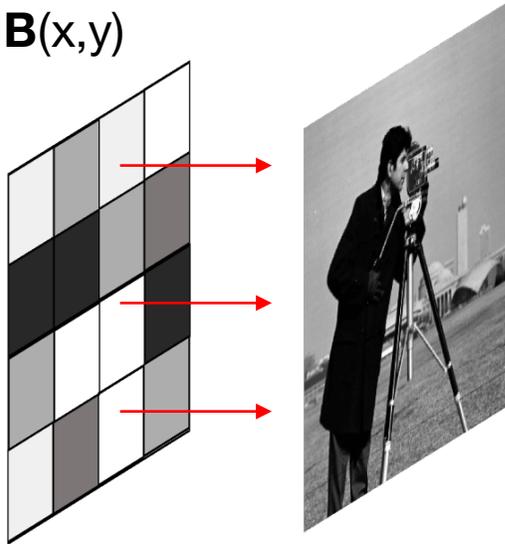
- All quantities are real, and non-negative

Object absorption:

$$S_0(x,y)$$

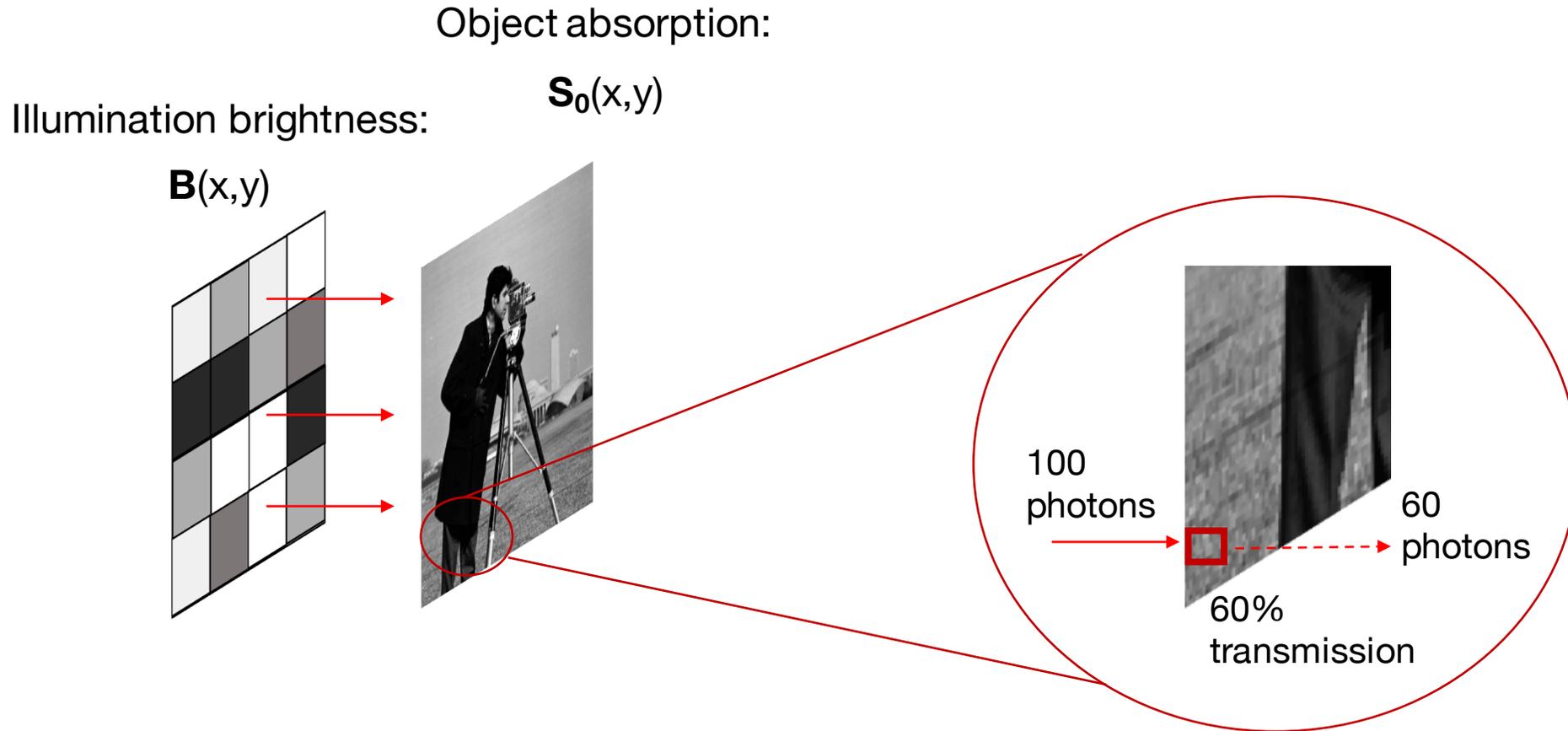
Illumination brightness:

$$B(x,y)$$



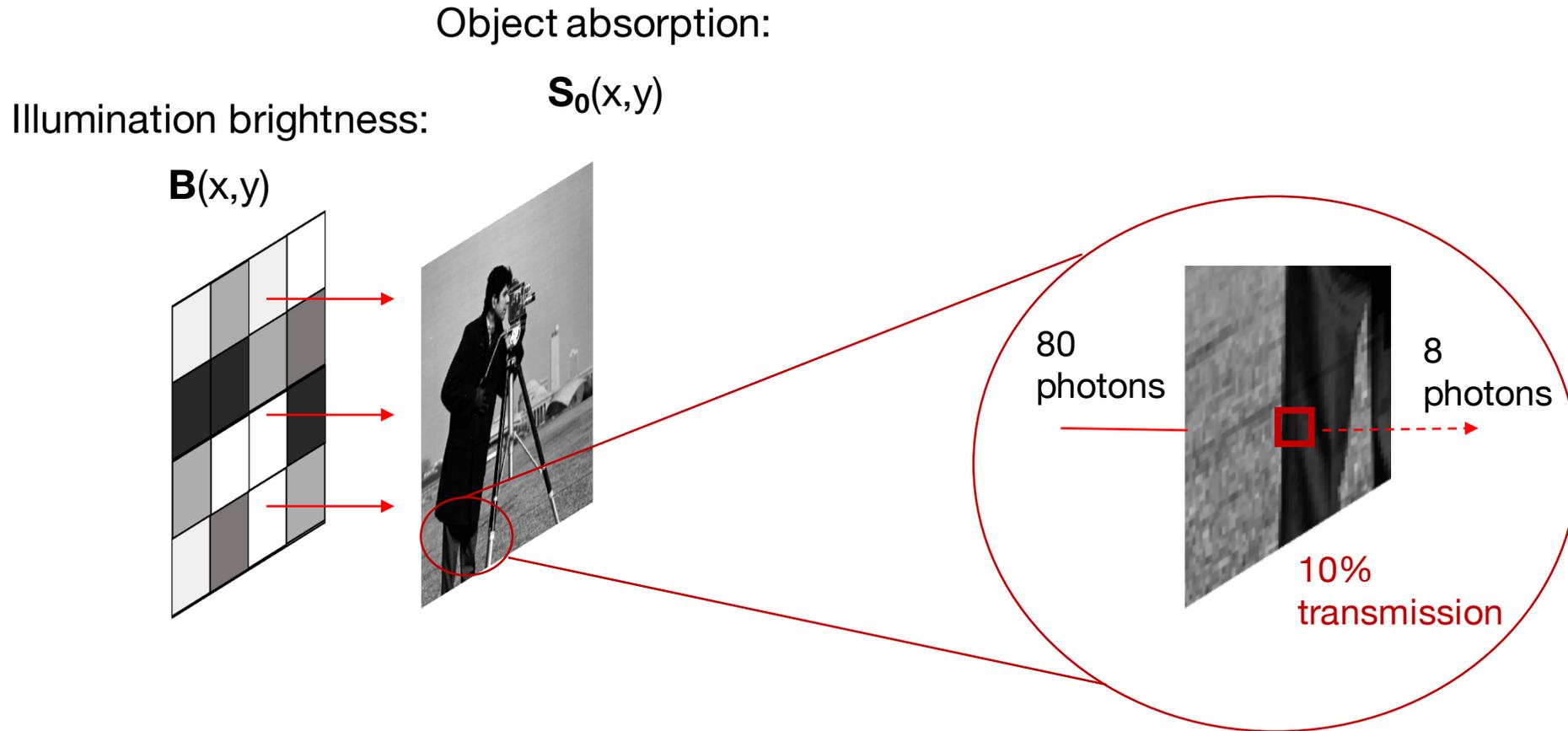
# Mathematical model of for incoherent image formation

- All quantities are real, and non-negative



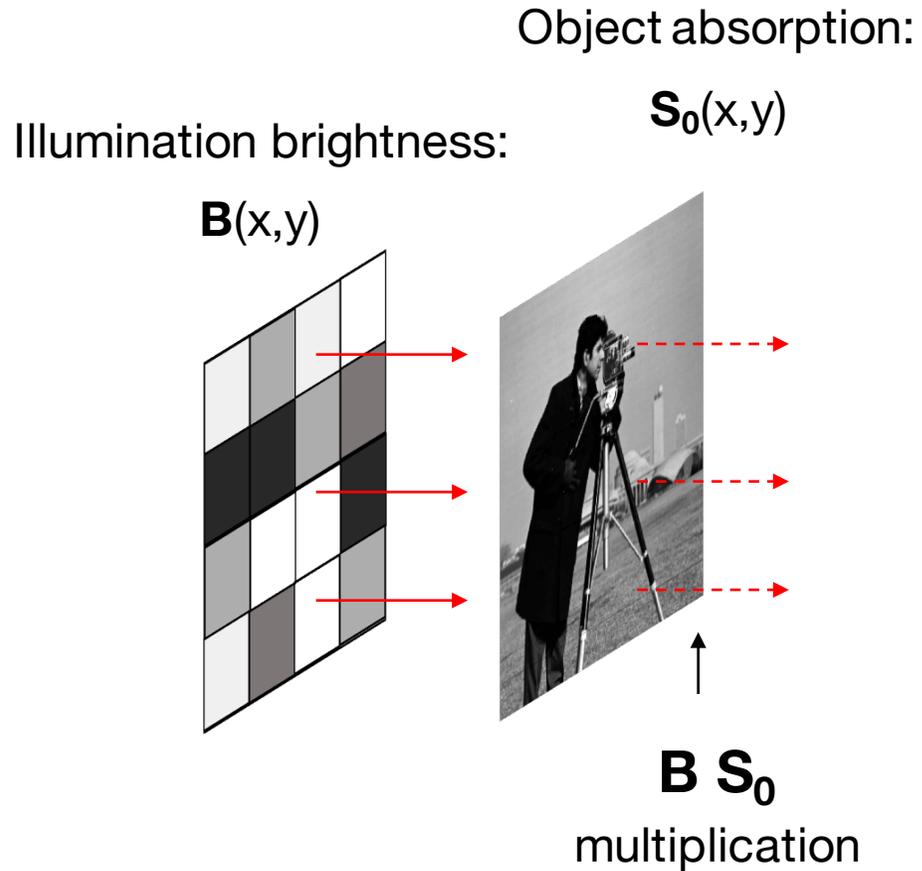
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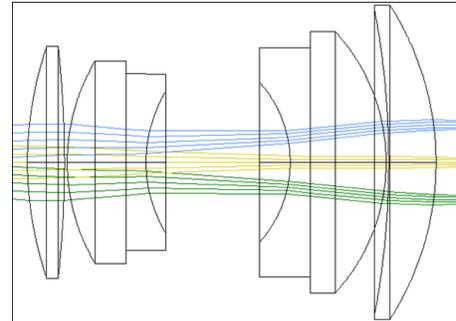
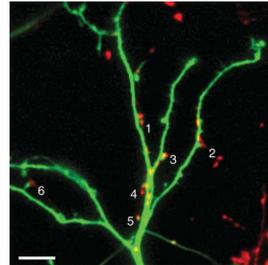
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# Summary of two models for image formation

- Interpretation #1: Radiation (*Incoherent*)
- Model: Rays

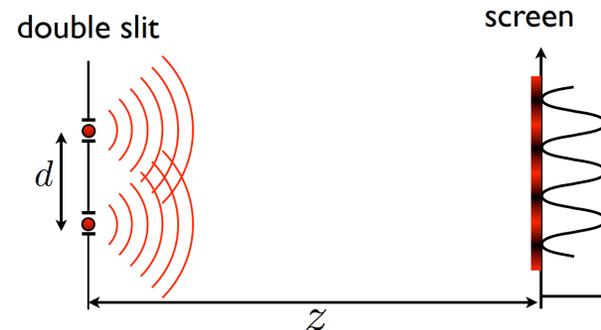
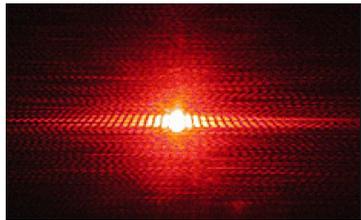


- Real, non-negative
- Models absorption and brightness

$$I_{\text{tot}} = I_1 + I_2$$

$$I_s = B S_0$$

- Interpretation #2: Electromagnetic wave (*Coherent*)
- Model: Waves



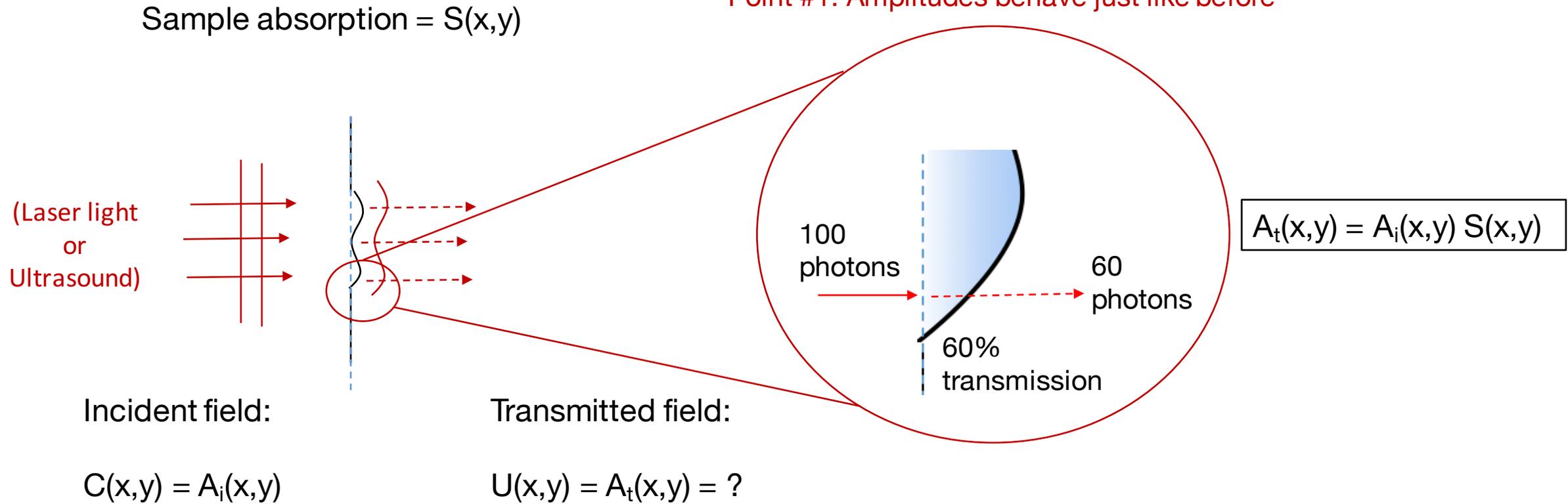
- Complex field
- Models Interference

$$E_{\text{tot}} = E_1 + E_2$$

# Mathematical model of for coherent image formation

- Pretty much the same thing, but now we have an amplitude and a complex phase

Point #1: Amplitudes behave just like before

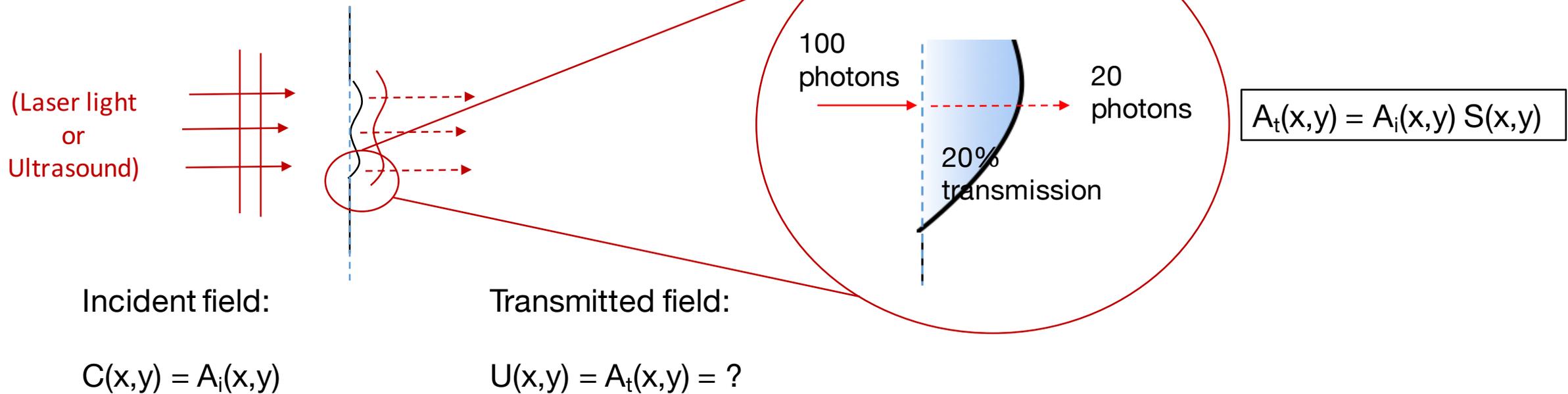


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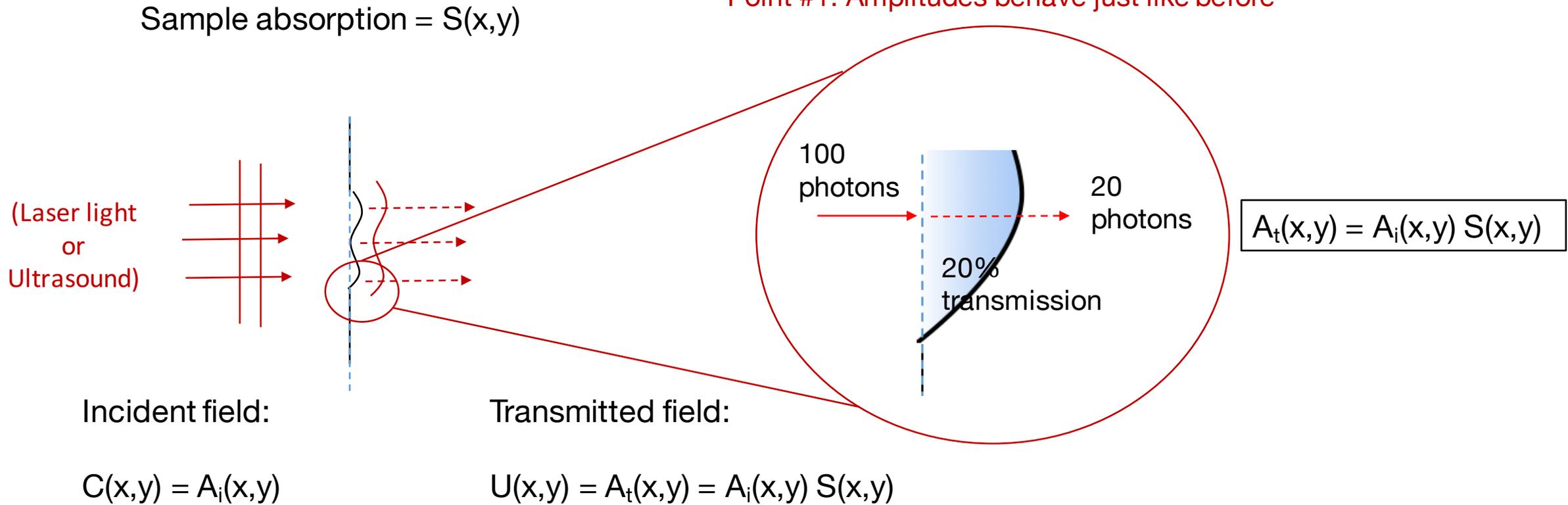
Sample absorption =  $S(x,y)$



# Mathematical model of for coherent image formation

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Point #1: Amplitudes behave just like before



# Mathematical model of for coherent image formation

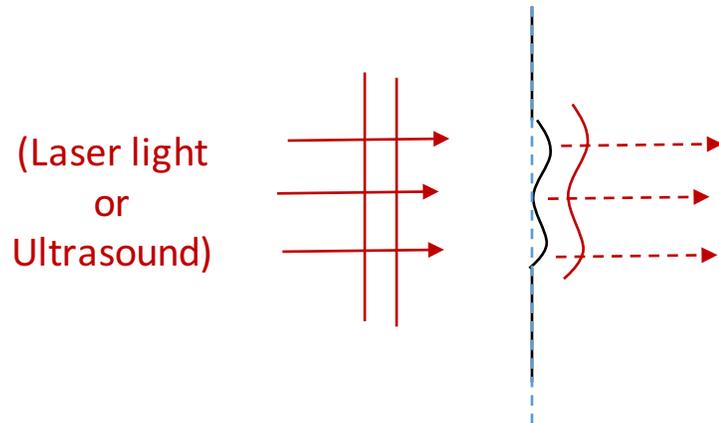
- Pretty much the same thing, but now we have an amplitude and a complex phase

Sample absorption =  $S(x,y)$

Sample phase delay =  $\exp[ik\phi(x,y)]$

New: complex phase delay

- Needed to represent wave
- Represents wave delay across space



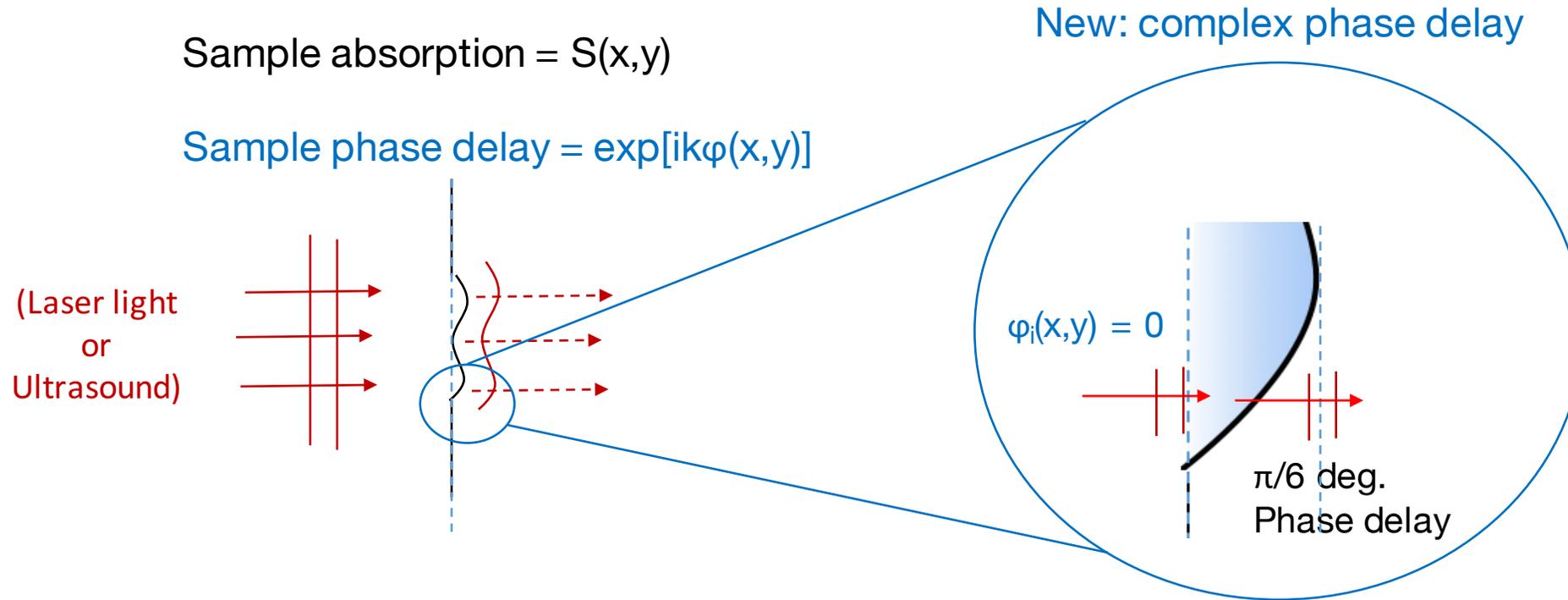
Incident field:

Transmitted field:

$$C(x,y) = A_i(x,y) \exp[ik\phi_i(x,y)] \quad U(x,y) = A_i(x,y) S(x,y) \exp[ik\phi_t(x,y)]$$

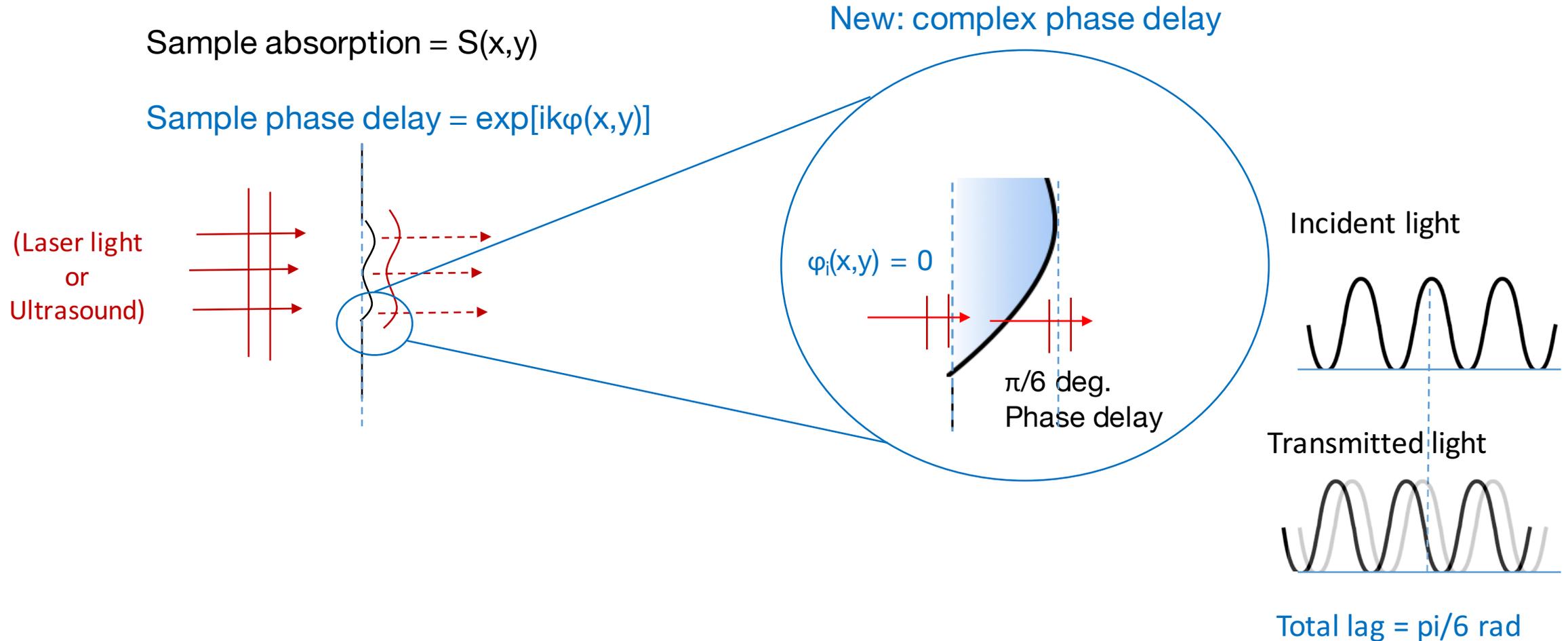
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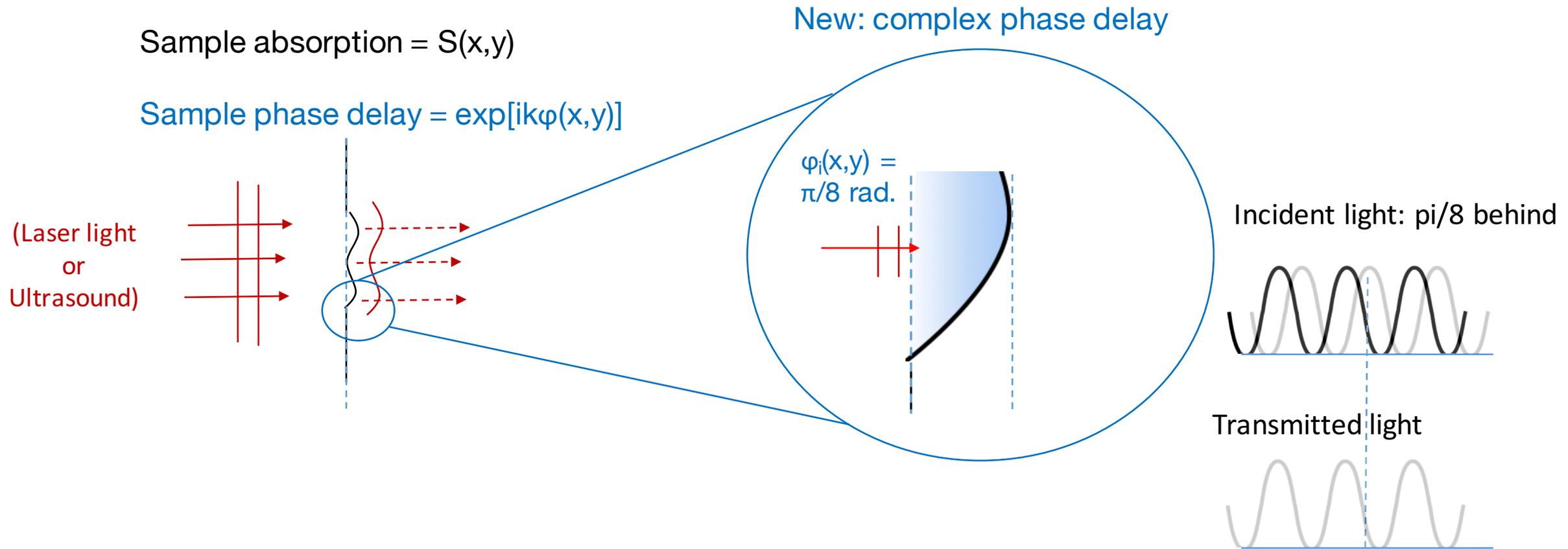
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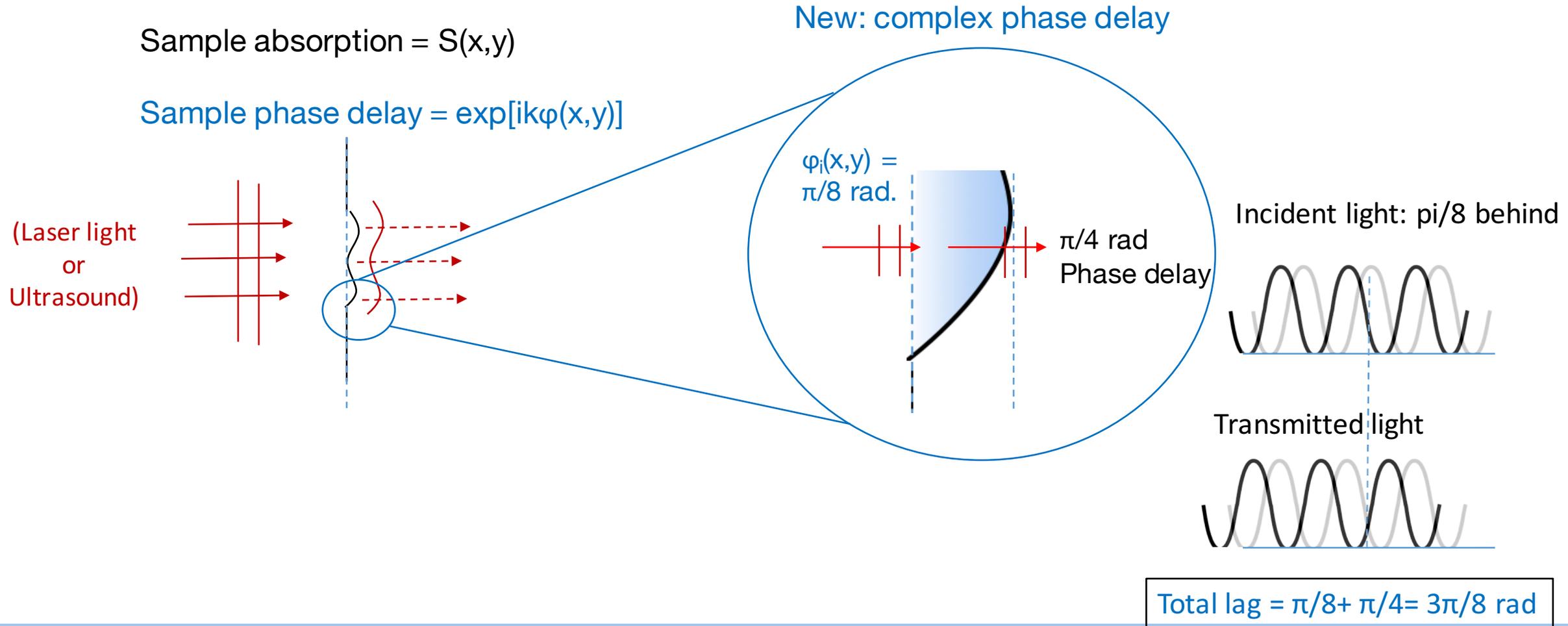
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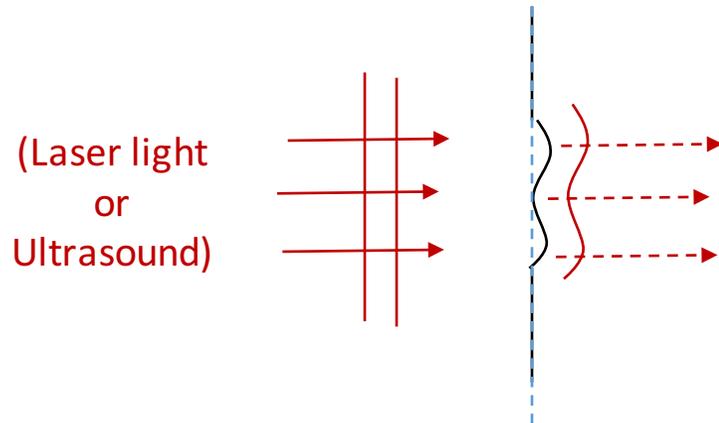
# Mathematical model of for coherent image formation

- Pretty much the same thing, but now we have an amplitude and a complex phase

Sample absorption =  $S(x,y)$

Sample phase delay =  $\exp[ik\varphi(x,y)]$

Output phase is sum of phase delays, product of phasors



$$\varphi_t(x,y) = \varphi(x,y) + \varphi_i(x,y)$$

$$\exp[ik\varphi_t(x,y)] = \exp[ik\varphi_i(x,y)] \exp[ik\varphi(x,y)]$$

Incident field:

Transmitted field:

$$C(x,y) = A_i(x,y) \exp[ik\varphi_i(x,y)] \quad U(x,y) = A_i(x,y) S(x,y) \exp[ik\varphi_i(x,y)] \exp[ik\varphi(x,y)]$$

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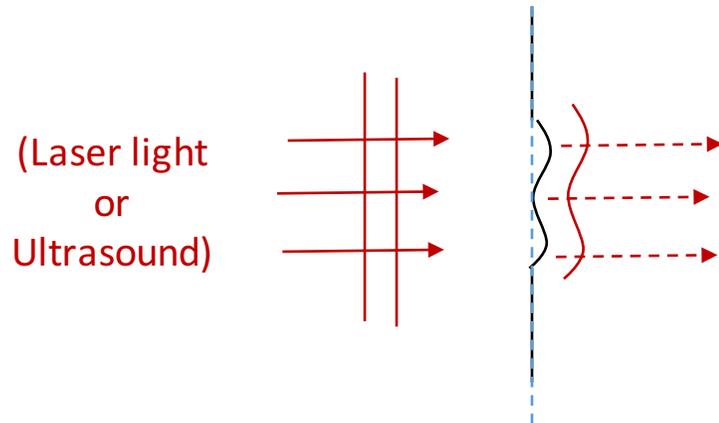
Sample absorption =  $S(x,y)$

Sample phase delay =  $\exp[ik\phi(x,y)]$

Conclusion:

Transmitted field = incident field x complex sample :

$$U(x,y) = C(x,y) S(x,y) \exp[ik\phi(x,y)]$$



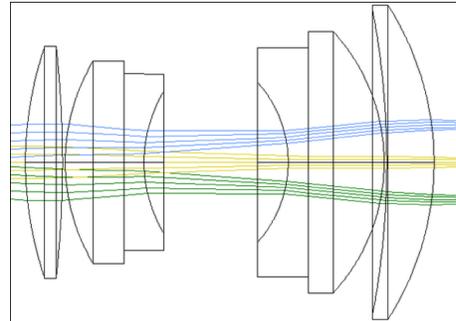
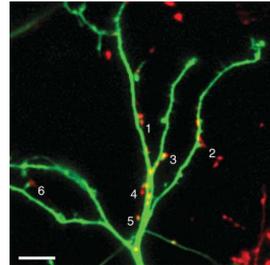
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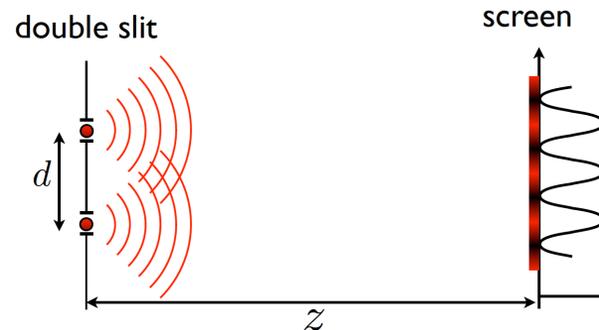
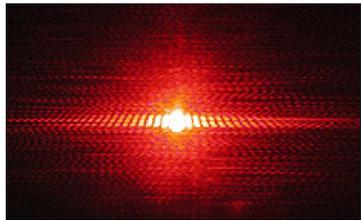


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- Interpretation #2: Electromagnetic wave (*Coherent*)
- Model: Waves



- Complex field
- Models Interference

$$E_{\text{tot}} = E_1 + E_2$$

$$U = C S_0$$

U, C and S are complex!

## **Additional Information about sample index of refraction, spatial frequency and Fourier optics**

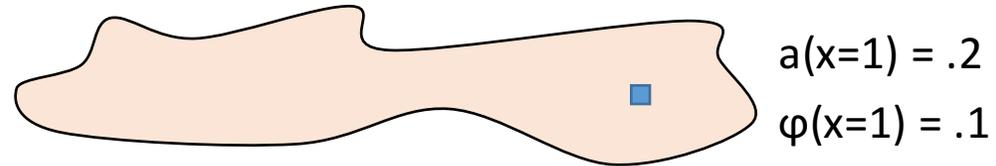
## Microscope illumination and sample index of refraction

So far: illuminate the sample and create a field that is equivalent to the sample's absorption and phase  
– why is this true???

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Sample index of refraction  $n(x,y,z) = 1 + ia(x) + \varphi(x)$

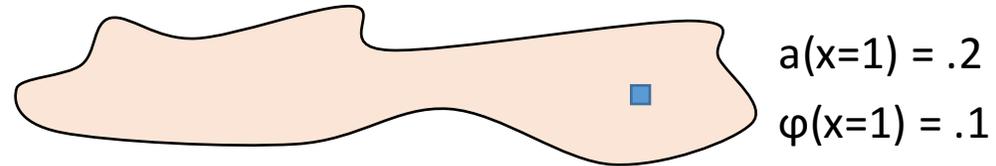


\*For more information, see D. Paganin, Coherent X-Ray Optics, Section 2.2

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**Thin sample approximation:**

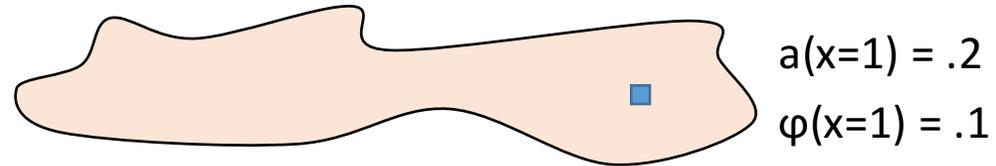
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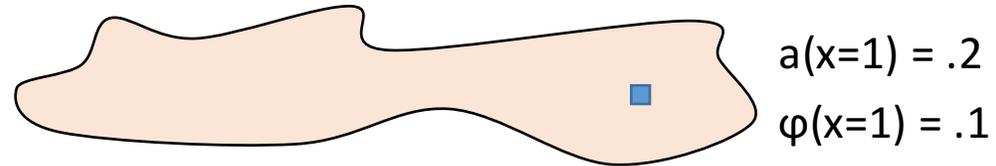
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## Thin sample approximation:

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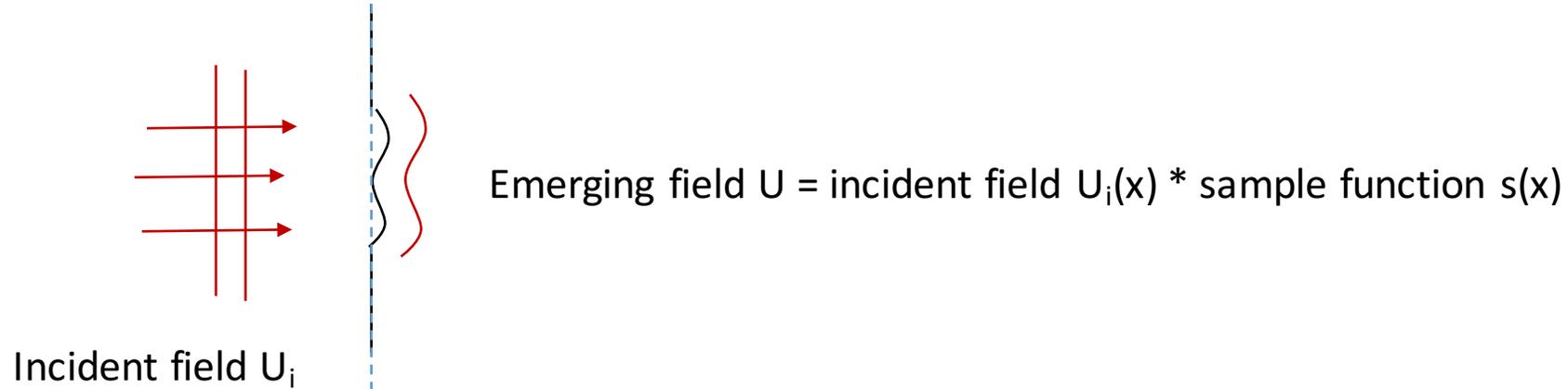
In 1D: Emerging field  $U(x) = \text{incident field } U_i(x) * \text{sample function } s(x) = \exp[-ik n(x)]$

$$U(x) = U_i(x) * \exp[-ik n(x)] = U_i(x) A(x) \exp[ik\varphi(x)] \quad A(x) = \exp[k a(x)]$$

↑
↑
absorption
phase shift: new term for laser

## Microscope illumination and sample index of refraction

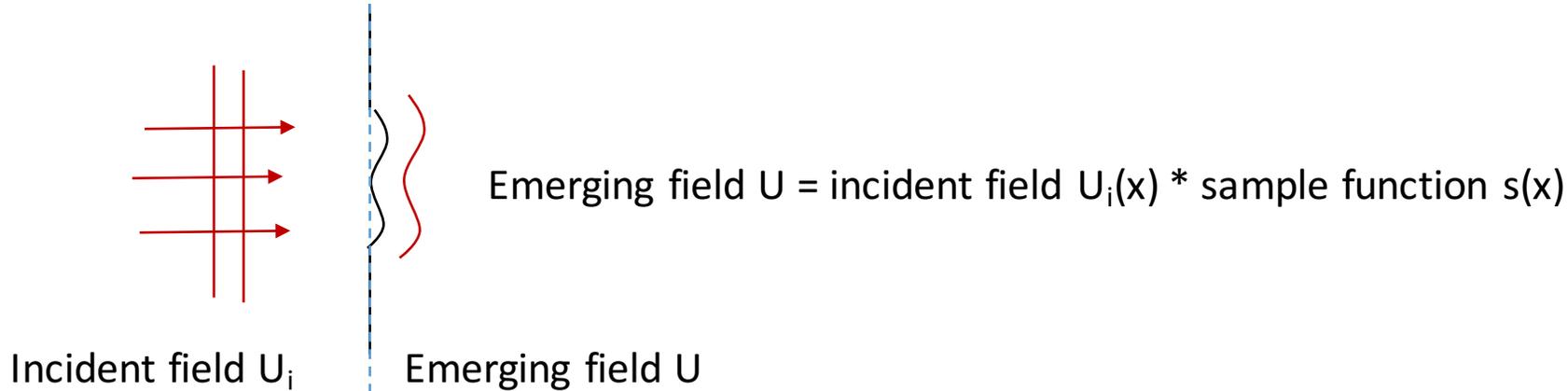
Sample absorption =  $A(x)$   
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# Microscope illumination and sample index of refraction

Q: When is the emerging field equal to the absorption and phase?

Sample absorption =  $A(x)$   
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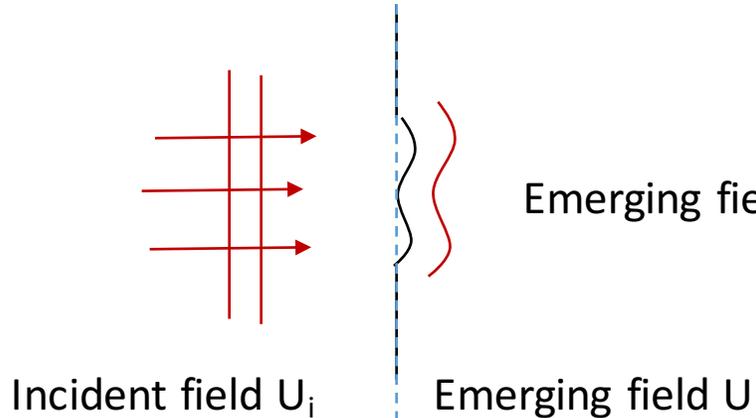
## Microscope illumination and sample index of refraction

**Q:** When is the emerging field equal to the absorption and phase?

Sample absorption =  $A(x)$   
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**A:** When the incident wave = 1, means uniform in amplitude and phase:

$$U_i(x) = 1 \longrightarrow U(x) = A(x) \exp[ik\varphi(x)]$$



Emerging field  $U =$  incident field  $U_i(x) * \text{sample function } s(x)$

# Microscope illumination and sample index of refraction

**Q:** When is the emerging field equal to the absorption and phase?

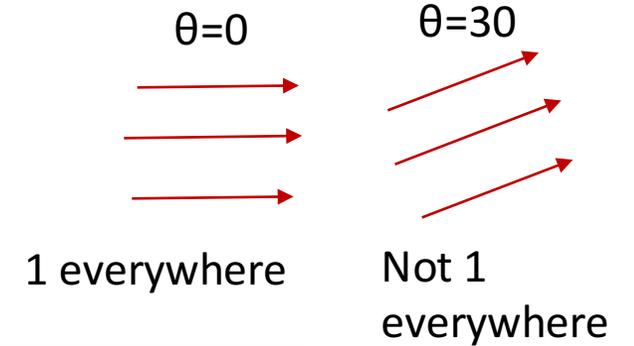
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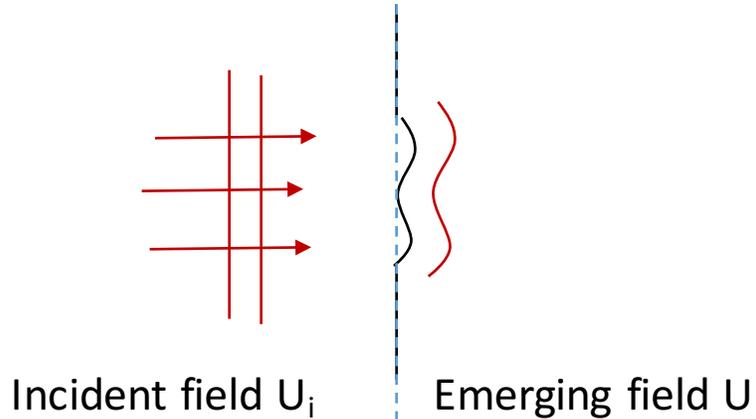
$$U_i(x) = 1 \longrightarrow U(x) = A(x) \exp[ik\phi(x)]$$

Plane wave  $U_i(x) = 1 * \exp(ik \cdot x)$

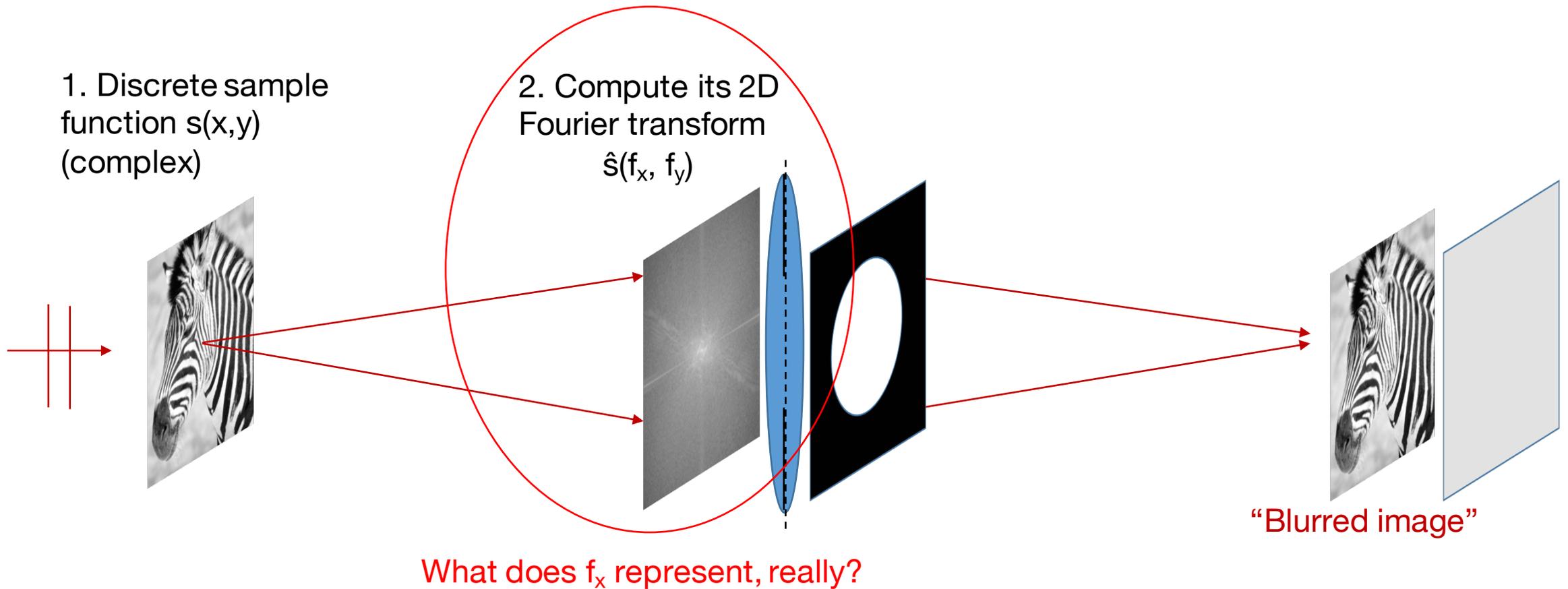
$$U_i(x) = \exp(ikx \sin(\theta))$$



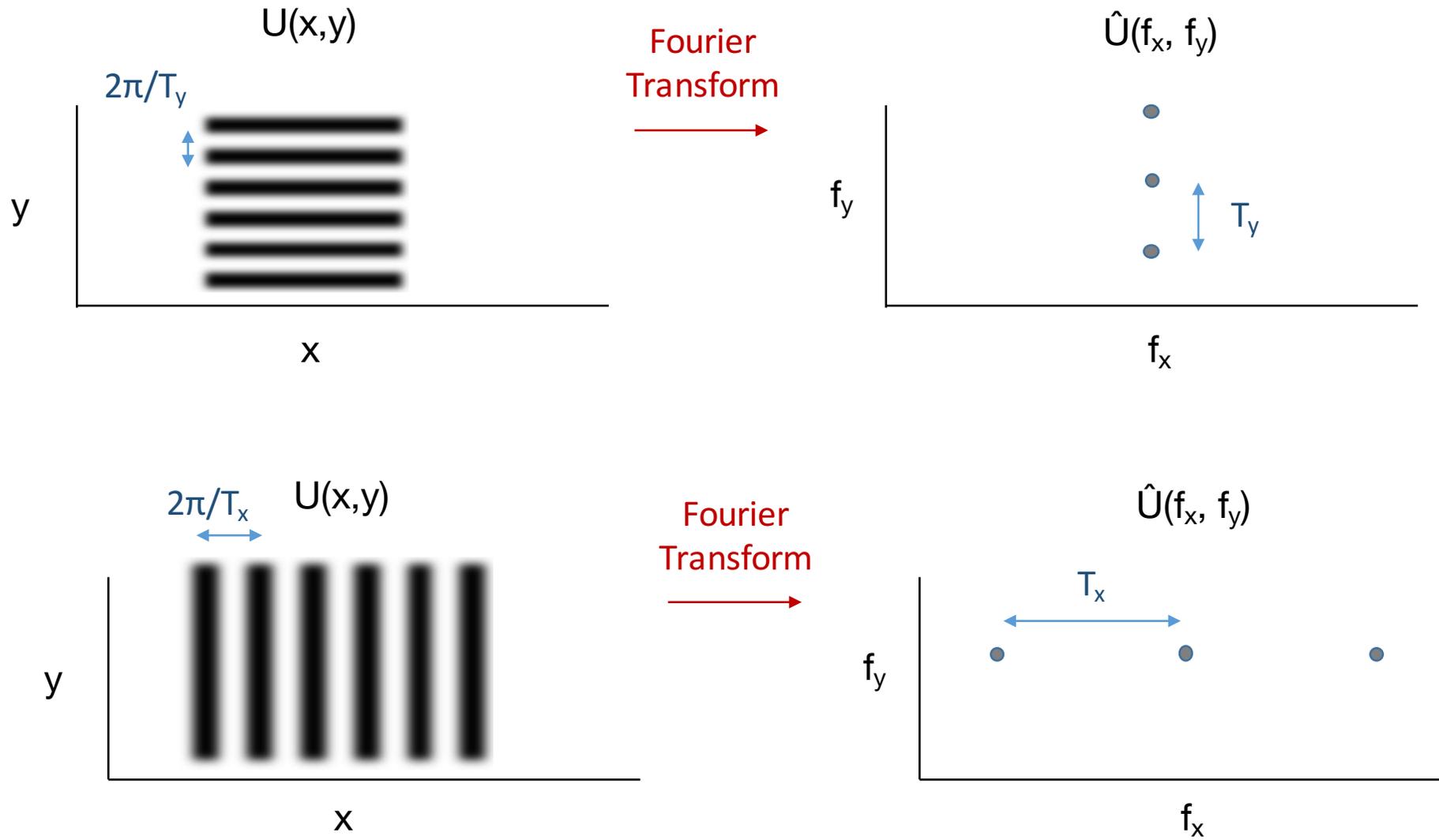
This is when incident wave hits the sample with  $\theta=0!$



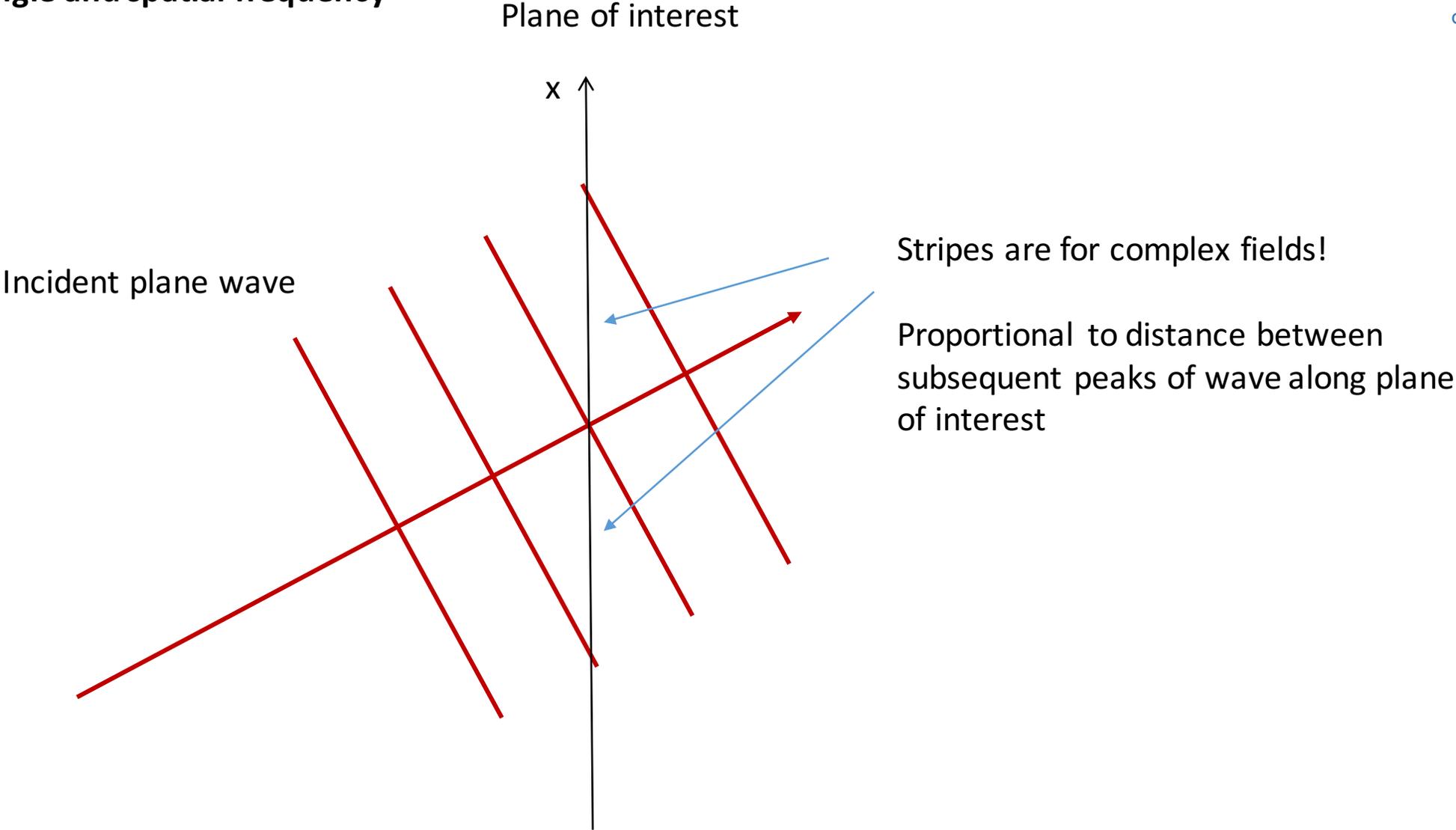
# Model of image formation for wave optics (coherent light):



# From before: Spatial frequencies = “stripes” within each image

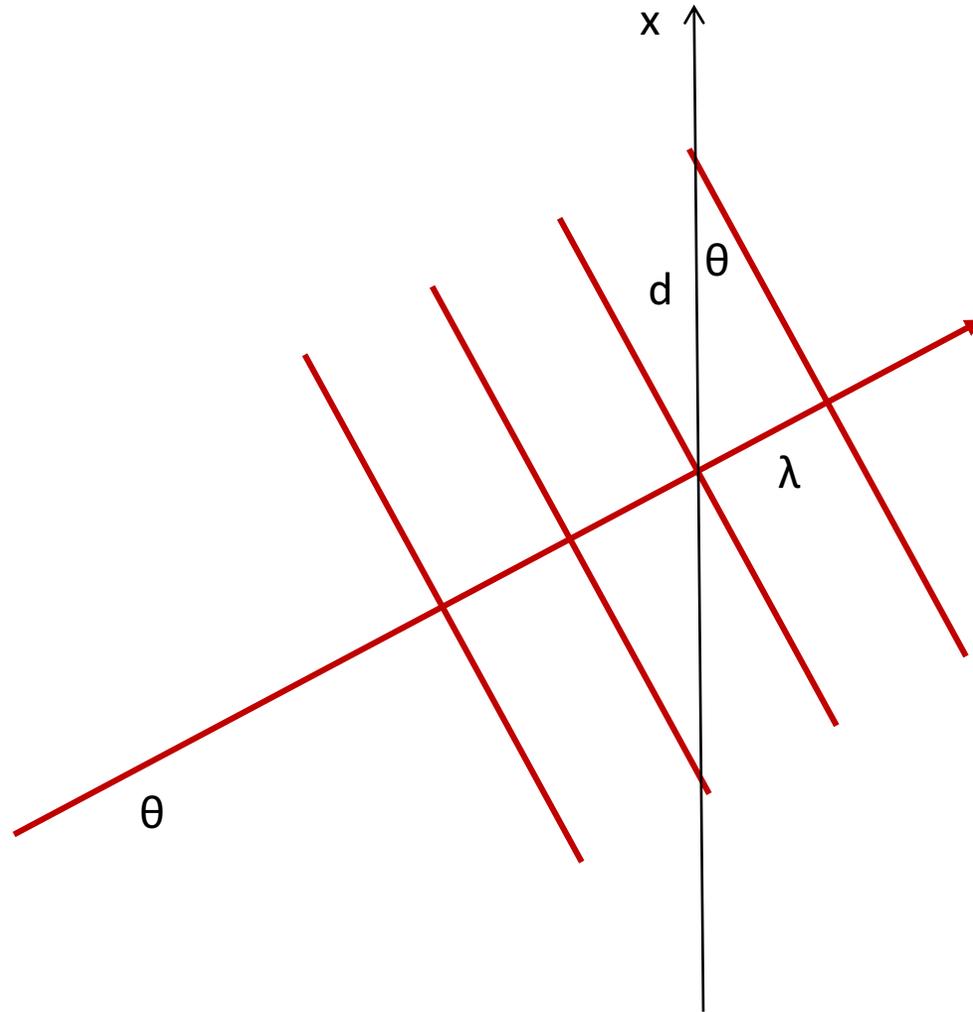


# Ray angle and spatial frequency



# Ray angle and spatial frequency

Plane of interest

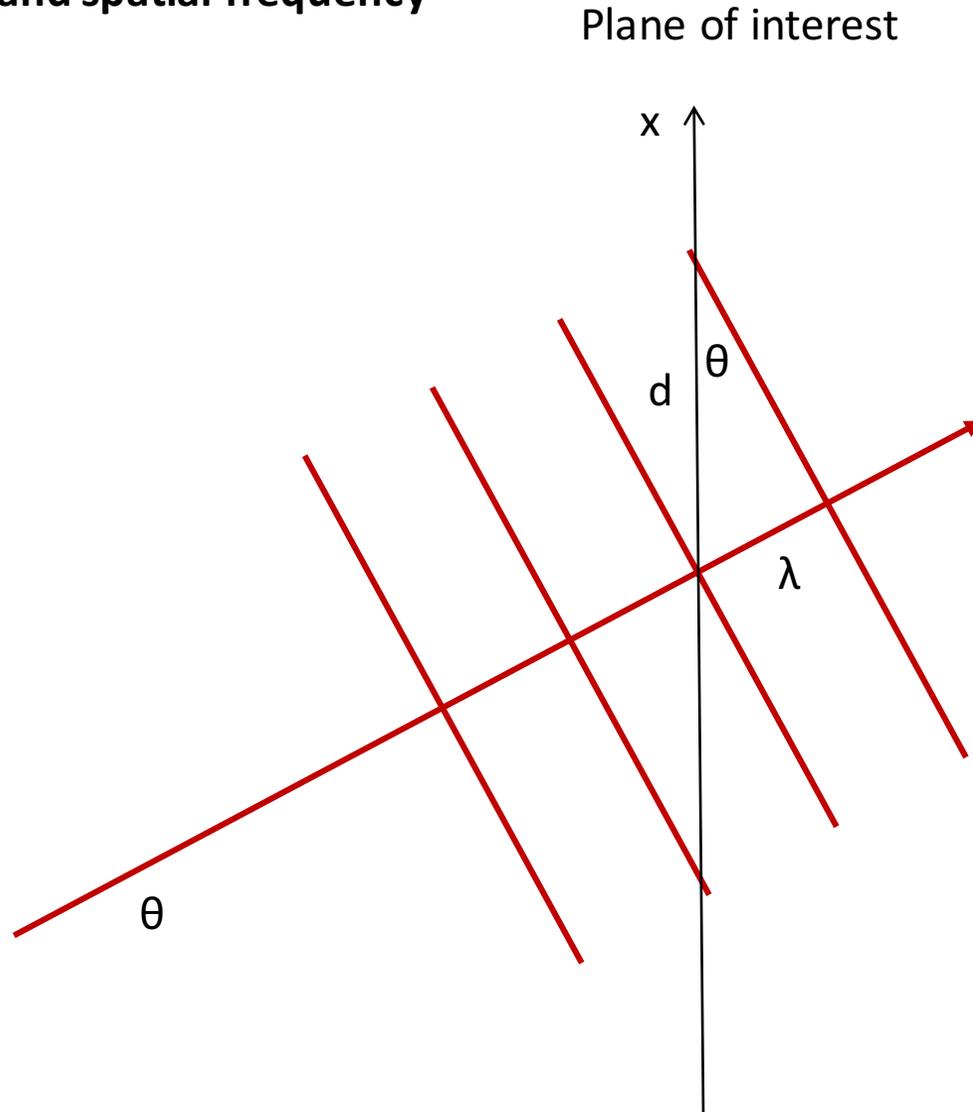


Distance to two crests = spatial period

$$\sin(\theta) = \lambda/d$$

$$d = \lambda / \sin(\theta)$$

# Ray angle and spatial frequency



Distance to two crests = spatial period

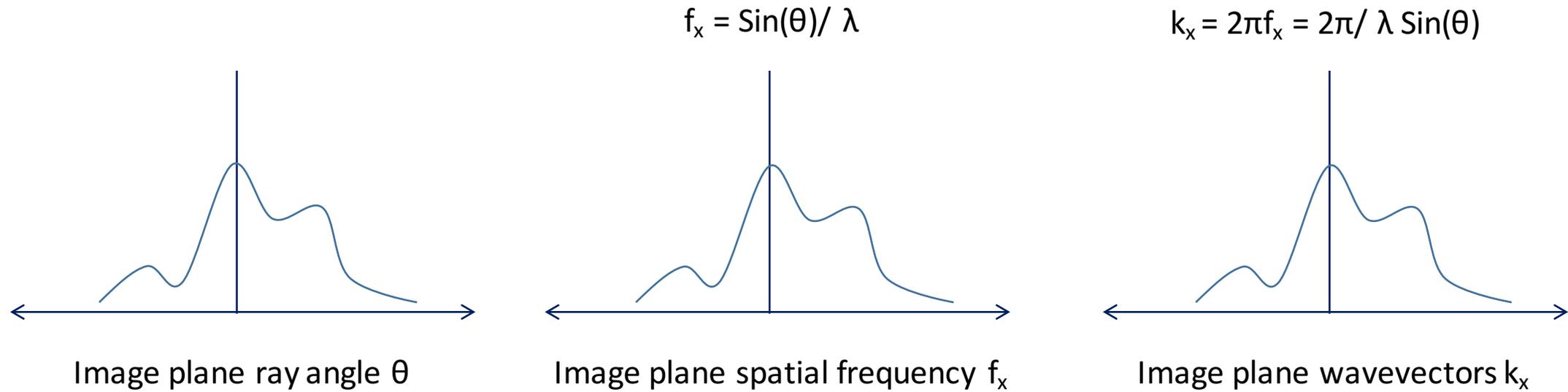
$$\sin(\theta) = \lambda/d$$

$$d = \lambda / \sin(\theta)$$

Spatial frequency = 1/spatial period  
(number of periods per unit length)

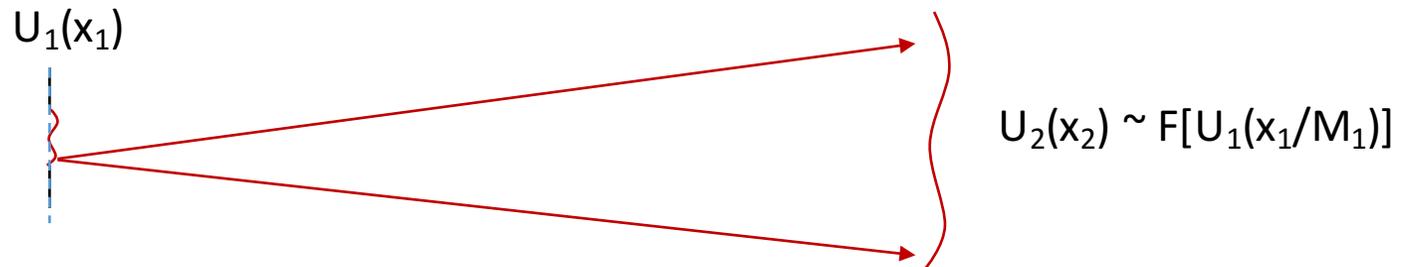
$$f_x = 1/d = \sin(\theta) / \lambda$$

## Equivalent coordinates in the Fourier domain and at the Fourier plane



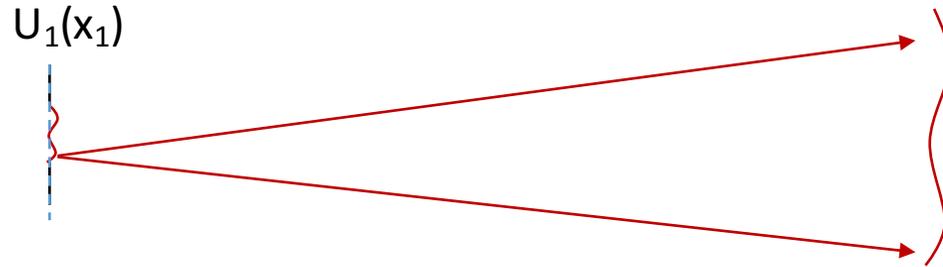
## General rules for applying the Fourier transform in optics

Situation 1: From an object to a plane “really far away”



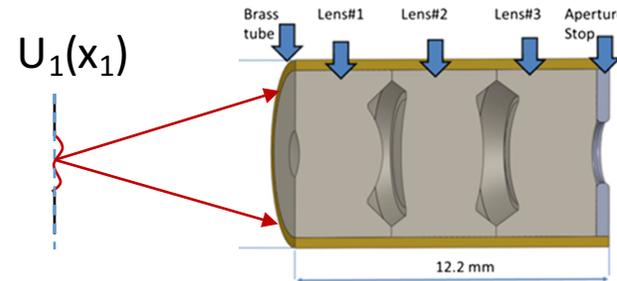
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Situation 1: From an object to a plane “really far away”



$$U_2(x_2) \sim F[U_1(x_1/M_1)]$$

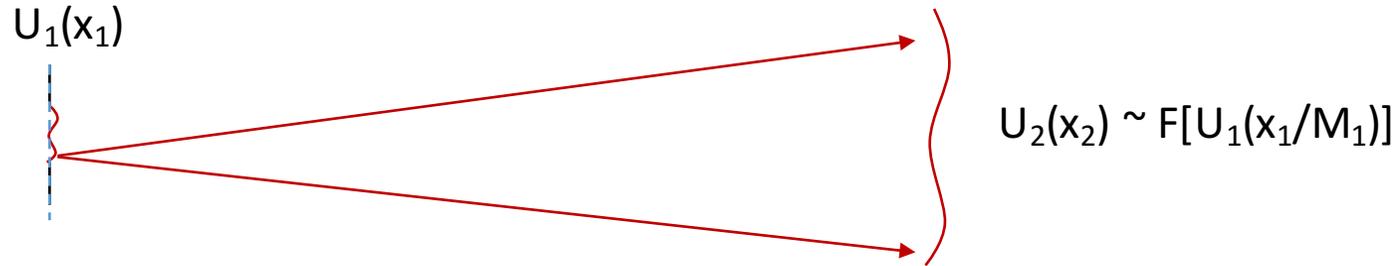
Situation 2: From an object to the back focal plane of the microscope objective lens



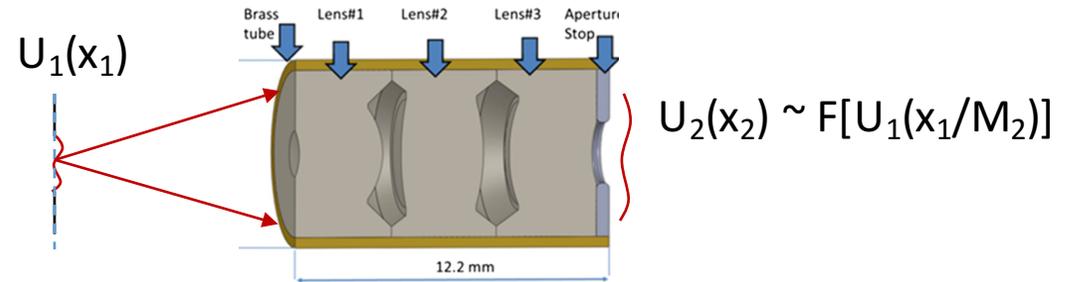
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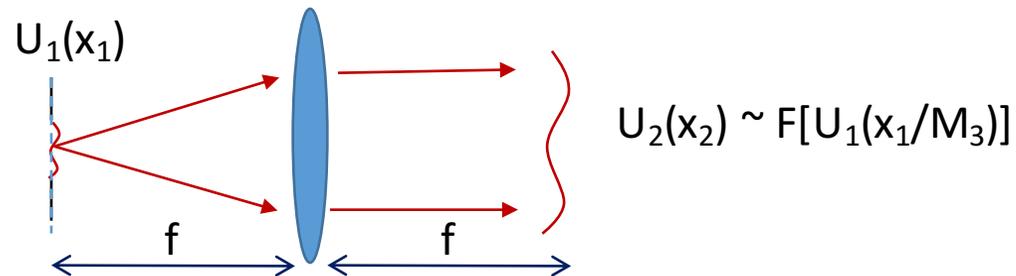
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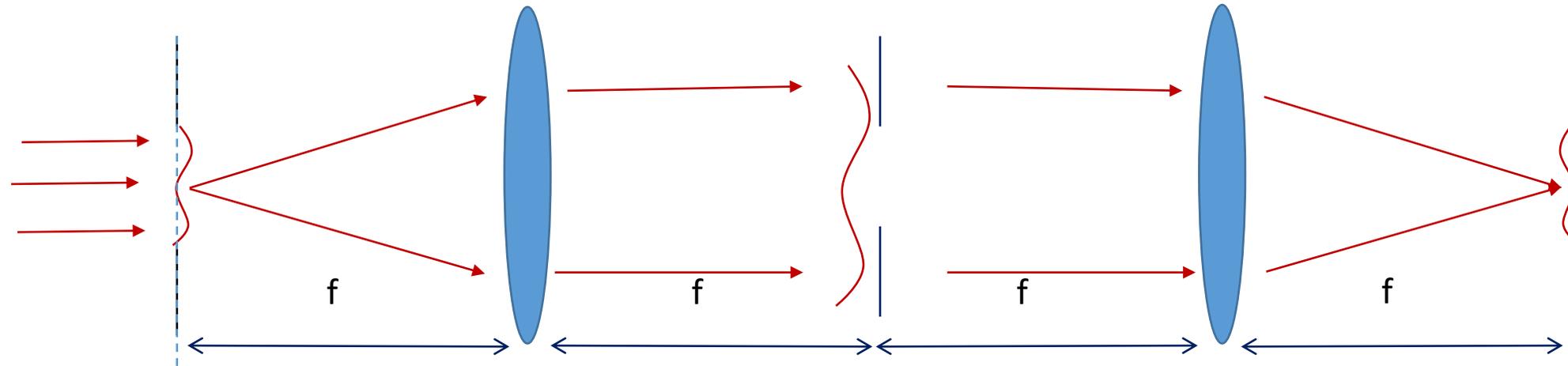
Situation 2: From an object to the back focal plane of the microscope objective lens



Situation 3: From an object to a plane 1 focal length away from a lens (1f-1f system)



## A more exact model: the 4f optical system



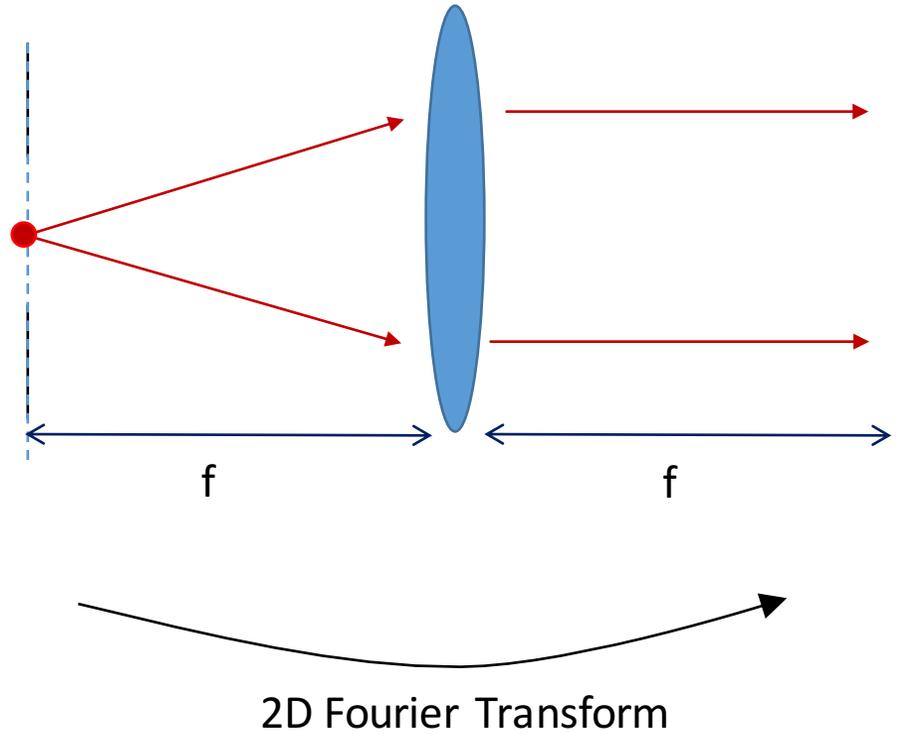
$$E_s(x_s, y_s, 0)$$

$$E'_a(x_d, y_d) = E_a(x_d, y_d)A(x_d, y_d)$$

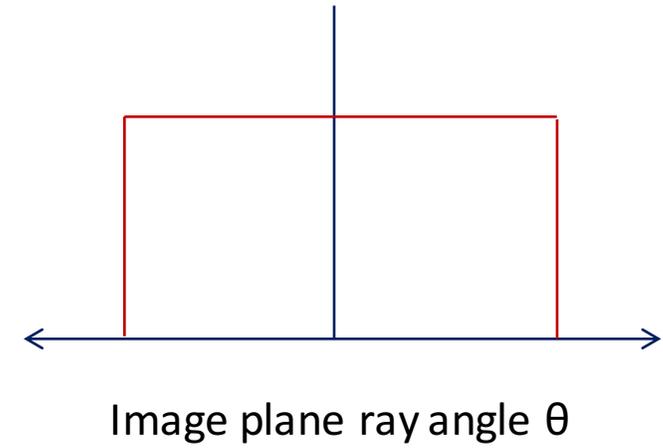
2D Fourier Transform

2D inverse Fourier Transform

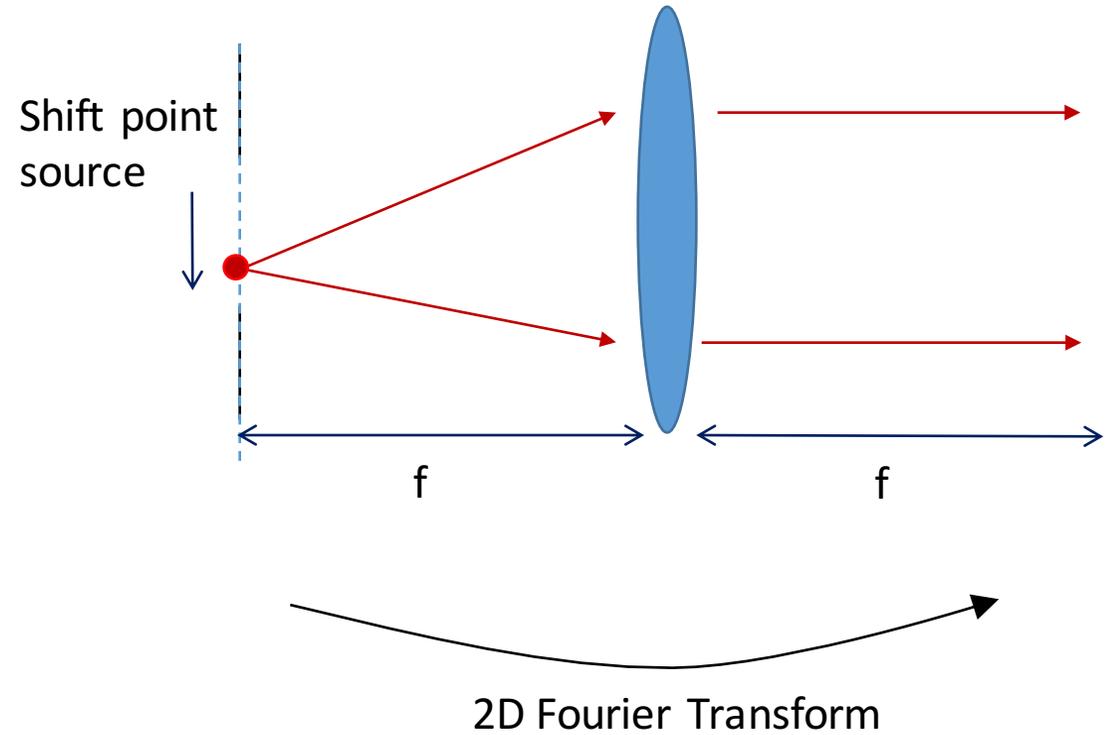
## A more exact model: the 4f optical system



The Fourier plane provides a measure of the **ray angles at the image plane**

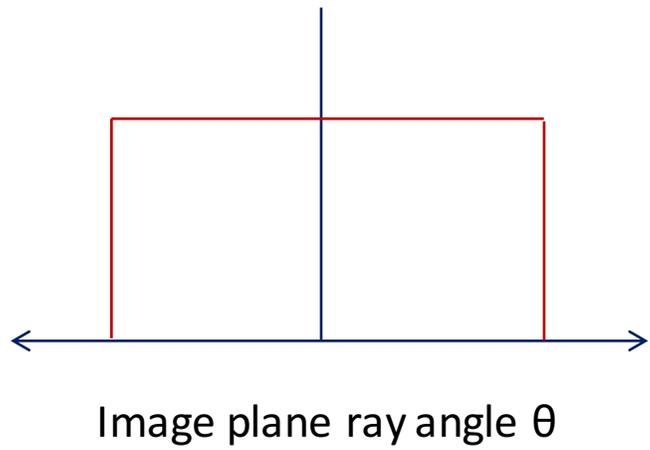


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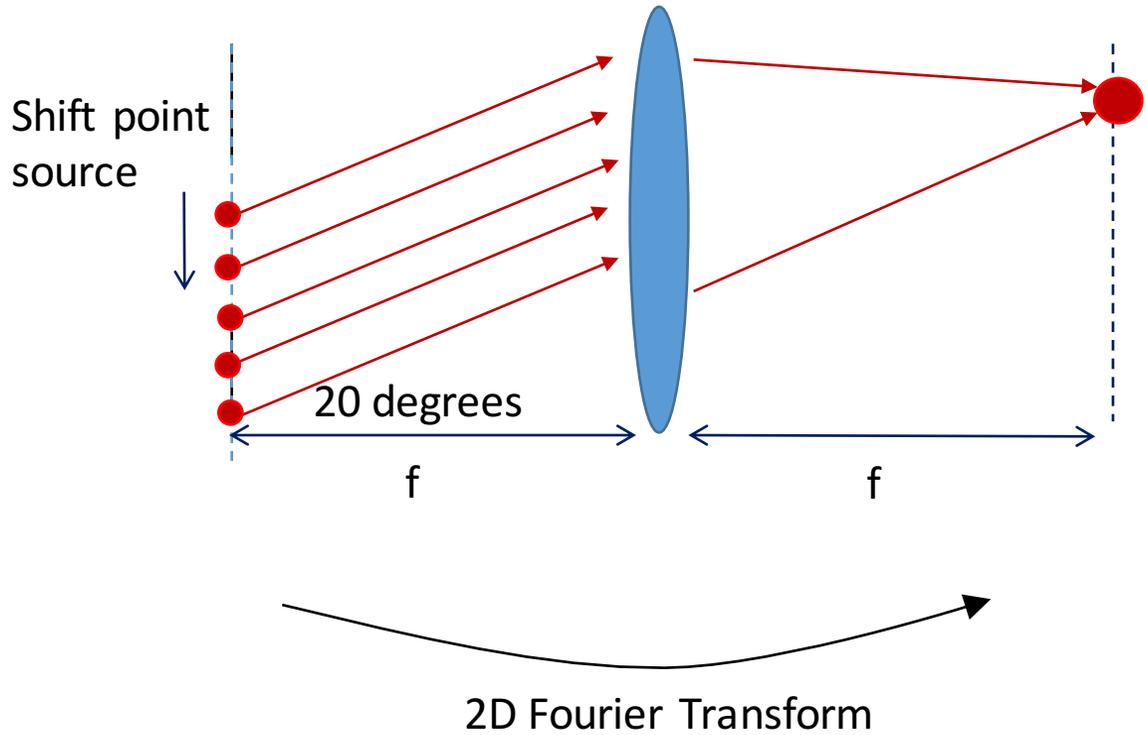


The Fourier plane provides a measure of the **ray angles at the image plane**

Doesn't contain info about spatial distribution light

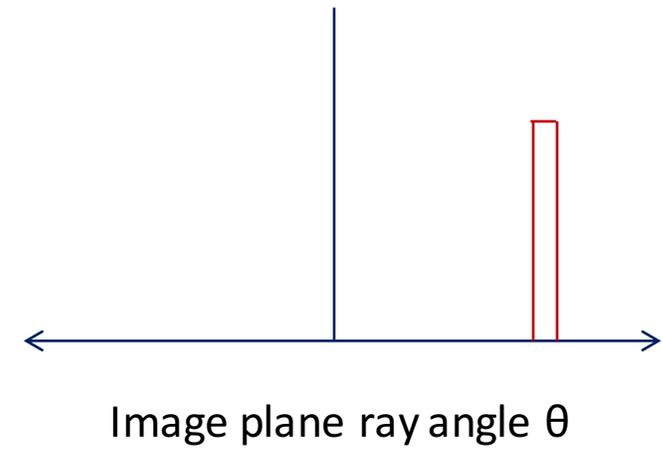


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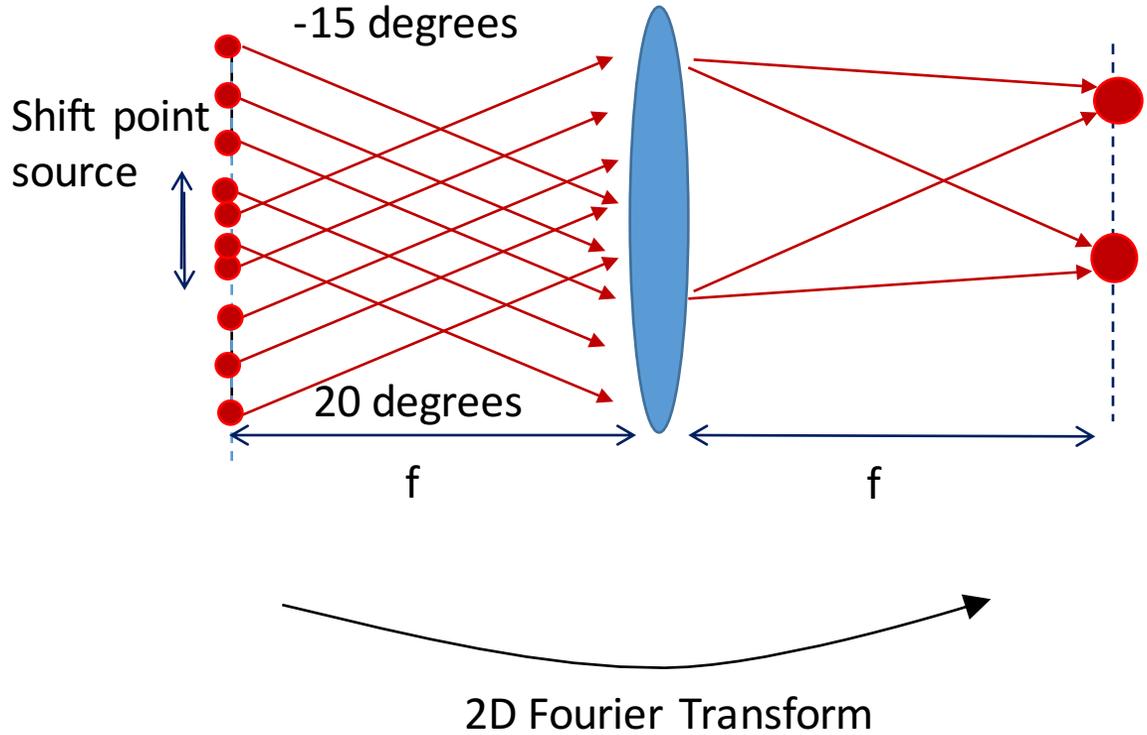


The Fourier plane provides a measure of the **ray angles at the image plane**

Rays are leaving image plane at +20 degrees

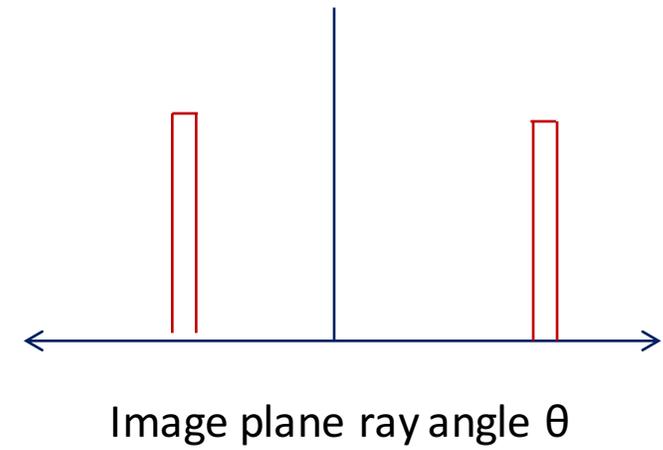


# A more exact model: the 4f optical system



The Fourier plane provides a measure of the **ray angles at the image plane**

Rays are coming in at +20 degrees and -15 degrees



You typically go between 4 functions to describe one imaging system:

